

# TEST REPORT

Prepared For : SINTON TECHNOLOGY LIMITED

Address : No.889 Yandu Rd,Yandu Area,Yancheng City,Jiangsu Province,P.R.China  
224001

Product Name : Thermal oil heater

Trade Name : N/A

Model : XTDQ-20, XTDQ-30, XTDQ-40, XTDQ-50, XTDQ-60, XTDQ-70, XTDQ-80,  
XTDQ-90, XTDQ-100, XTDQ-120, XTDQ-150, XTDQ-160, XTDQ-180,  
XTDQ-200, XTDQ-250, XTDQ-300, XTDQ-350, XTDQ-400, XTDQ-450,  
XTDQ-500, XTDQ-550, XTDQ-600, XTDQ-700, XTDQ-800, XTDQ-900,  
XTDQ-1000, XTDQ-1100, XTDQ-1200, XTDQ-1300, XTDQ-1400,  
XTDQ-1500

Standard : EN 60204-1:2018  
EN ISO 12100:2010  
EN55014-1:2006+A1:2009+A2:2011  
EN61000-3-2:2014  
EN61000-3-3:2013  
EN55014-2:2015

Test procedure : CE\_LVD  
CE\_MD  
CE EMC

Prepared By : SHENZHEN POCE TECHNOLOGY CO., LTD.

Address : H Building, Hongfa Science And Technology Park, Tangtou, Shiyao, Bao'An  
District, Shenzhen, China

Test Date : Mar.18, 2019-Mar.25, 2019

Date of Report : Mar.25, 2019

Report No. : POCE19031807SR-D

<b>Report reference No.</b>	POCE19031807SR-D
<b>Date of issue</b>	Mar.25, 2019
<b>Name of Testing Laboratory preparing the Report..... :</b>	SHENZHEN POCE TECHNOLOGY CO., LTD. H Building, Hongfa Science And Technology Park, Tangtou, Shiyuan, Bao'An District, Shenzhen, China
<b>Complied by..... :</b>	EVA
<b>Approved by..... :</b>	Machael Mo 
<b>Applicant's name..... :</b>	SINTON TECHNOLOGY LIMITED
<b>Address..... :</b>	No.889 Yandu Rd, Yandu Area, Yancheng City, Jiangsu Province, P.R.China 224001
<b>Test specification:</b>	
<b>Directive..... :</b>	2006/42/EC, 2014/30/EU, 2014/35/EU
<b>Test procedure..... :</b>	CE_LVD CE_MD CE_EMG
<b>Non-standard test method..... :</b>	N/A
<b>Test item description..... :</b>	Thermal oil heater
<b>Trade Mark..... :</b>	N/A
<b>Manufacturer..... :</b>	SINTON TECHNOLOGY LIMITED
<b>Model/Type reference..... :</b>	See cover page
<b>Ratings..... :</b>	Input: 380Vac, 50 Hz, 498A, 322KW

**Test case verdicts:**

Test case does not apply to the test object.....: N(/A)  
Test object does meet the requirement.....: P(ass)  
Test object does not meet the requirement.....: F(ail)

**Testing:**

Date of receipt of test item.....: Mar.18, 2019  
Date (s) of performance of tests.....: Mar.18, 2019- Mar.25, 2019

**General remarks:**

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The test results presented in this report relate only to the item(s) tested.  
"(see remark #)" refers to a remark appended to the report.  
"(see Annex #)" refers to an annex appended to the report.  
Throughout this report a comma is used as the decimal separator.

**SINTON TECHNOLOGY LIMITED**

Product Name: Thermal oil heater  
Model: XTDQ-300      Product Specification: 4-DN250\*1400  
Rating Voltage: 380V      Rating Power: 300KW  
Weight: 1856KG  
Serial No.S-190104-004  
Year of Manufacture: 2019.2



Manufacture: SINTON TECHNOLOGY LIMITED  
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Made in China

EN ISO 12100			
Clause	Requirement + Test	Result - Remark	Verdict
<b>EN ISO 12100:2010 General principles for design–Risk assessment and risk reduction</b>			P
6 Risk reduction			P
	<p>The objective of risk reduction can be achieved by the elimination of hazards, or by separately or simultaneously reducing each of the two elements that determine the associated risk:</p> <ul style="list-style-type: none"> <li>—severity of harm from the hazard under consideration;</li> <li>—probability of occurrence of that harm.</li> </ul> <p>All protective measures intended for reaching this objective shall be applied in the following sequence, referred to as the threestep method (see also Figures 1 and 2).</p> <p><b>Step 1: Inherently safe design measures</b></p> <p><b>Step 2: Safeguarding and/or complementary protective measures</b></p> <p><b>Step 3: Information for use</b></p>		P
<b>6.2</b>	Inherently safe design measures		P
<b>6.2.1</b>	Genera		P
	<p>Inherently safe design measures are the first and most important step in the risk reduction process. This is because protective measures inherent to the characteristics of the machine are likely to remain effective, whereas experience has shown that even well-designed safeguarding can fail or be violated and information for use may not be followed.</p> <p>Inherently safe design measures are achieved by avoiding hazards or reducing risks by a suitable choice of design features for the machine itself and/or interaction between the exposed persons and the machine.</p>		P
<b>6.2.2</b>	Consideration of geometrical factors and physical aspects		P
<b>6.2.2.1</b>	Geometrical factors		P

EN ISO 12100			
Clause	Requirement + Test	Result - Remark	Verdict
	<p>Such factors include the following.</p> <p>a) The form of machinery is designed to maximize direct visibility of the working areas and hazard zones from the control position —reducing blind spots, for example —and choosing and locating means of indirect vision where necessary (mirrors, etc.) so as to take into account the characteristics of human vision, particularly when safe operation requires permanent direct control by the operator, for example:</p> <ul style="list-style-type: none"> <li>—the travelling and working area of mobile machines;</li> <li>—the zone of movement of lifted loads or of the carrier of machinery for lifting persons;</li> <li>—the area of contact of the tool of a hand-held or hand-guided machine with the material being worked.</li> </ul> <p>The design of the machine shall be such that, from the main control position, the operator is able to ensure that there are no exposed persons in the danger zones.</p>		P
	<p>b) The form and the relative location of the mechanical components parts: for instance, crushing and shearing hazards are avoided by increasing the minimum gap between the moving parts, such that the part of the body under consideration can enter the gap safely, or by reducing the gap so that no part of the body can enter it (see ISO 13854 and ISO 13857).</p> <p>c) Avoiding sharp edges and corners, protruding parts: in so far as their purpose allows, accessible parts of the machinery shall have no sharp edges, no sharp angles, no rough surfaces, no protruding parts likely to cause injury, and no openings which can “trap” parts of the body or clothing. In particular, sheet metal edges shall be deburred, flanged or trimmed, and open ends of tubes which can cause a “trap” shall be capped.</p> <p>d) The form of the machine is designed so as to achieve a suitable working position and provide accessible manual controls (actuators).</p>		P
<b>6.2.2.2</b>	Physical aspects		P

EN ISO 12100			
Clause	Requirement + Test	Result - Remark	Verdict
	Such aspects include the following: a) limiting the actuating force to a sufficiently low value so that the actuated part does not generate a mechanical hazard; b) limiting the mass and/or velocity of the movable elements, and hence their kinetic energy; c) limiting the emissions by acting on the characteristics of the source using measures for reducing 1) noise emission at source (see ISO/TR 11688-1), 2) the emission of vibration at source, such as redistribution or addition of mass and changes of process parameters [for example, frequency and/or amplitude of movements (for handheld and hand-guided machinery, see CR 1030-1)], 3) the emission of hazardous substances, including the use of less hazardous substances or dust-reducing processes (granules instead of powders, milling instead of grinding), and 4) radiation emissions, including, for example, avoiding the use of hazardous radiation sources, limiting the power of radiation to the lowest level sufficient for the proper functioning of the machine, designing the source so that the beam is concentrated on the target, increasing the distance between the source and the operator or providing for remote operation of the machinery [measures for reducing emission of non-ionizing radiation are given in 6.3.4.5 (see also EN 12198-1 and EN12198-3)].		P
<b>6.2.3</b>	Taking into account general technical knowledge of machine design		P
	This general technical knowledge can be derived from technical specifications for design (standards, design codes, calculation rules, etc.), which should be used to cover a) mechanical stresses such as —stress limitation by implementation of correct calculation, construction and fastening methods as regards, for example, bolted assemblies and welded assemblies, —stress limitation by overload prevention (bursting disk, pressurelimiting valves, breakage points,torque-limiting devices, etc.), —avoiding fatigue in elements under variable stresses (notably cyclic stresses), and —static and dynamic balancing of rotating elements, b) materials and their properties such as —resistance to corrosion, ageing, abrasion and wear, —hardness, ductility, brittleness, —homogeneity, —toxicity, and —flammability, and c) emission values for —noise, —vibration, —hazardous substances, and —radiation. When the reliability of particular components or assemblies is critical for safety (for example, ropes, chains, lifting accessories for lifting loads or persons), stress limits shall be multiplied by appropriate workingcoefficients.		P
<b>6.2.4</b>	<b>Choice of appropriate technology</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>One or more hazards can be eliminated or risks reduced by the choice of the technology to be used in certain applications such as the following:</p> <p>a) on machines intended for use in explosive atmospheres, using —appropriately selected pneumatic or hydraulic control system and machine actuators, —intrinsically safe electrical equipment (see IEC 60079-11);</p> <p>b) for particular products to be processed (for example, by a solvent), by using equipment that ensures the temperature will remain far below the flash point;</p> <p>c) the use of alternative equipment to avoid high noise levels, such as —electrical instead of pneumatic equipment, —in certain conditions, water-cutting instead of mechanical equipment.</p>		P
<b>6.2.5</b>	<b>Applying principle of positive mechanical action</b>		P
	Positive mechanical action is achieved when a moving mechanical component inevitably moves another component along with it, either by direct contact or via rigid elements. An example of this is positive opening operation of switching devices in an electrical circuit (see IEC 60947-5-1 and ISO 14119).		P
<b>6.2.6</b>	<b>Provisions for stability P</b>		P
	<p>Machines shall be designed so that they have sufficient stability to allow them to be used safely in their specified conditions of use. Factors to be taken into account include</p> <p>—the geometry of the base,</p> <p>—the weight distribution, including loading,</p> <p>—the dynamic forces due to movements of parts of the machine, of the machine itself or of elements held by the machine which can result in an overturning moment,</p> <p>—vibration,</p> <p>—oscillations of the centre of gravity,</p> <p>—characteristics of the supporting surface in case of travelling or installation on different sites (ground conditions, slope, etc.), and</p> <p>—external forces, such as wind pressure and manual forces.</p> <p>Stability shall be considered in all phases of the life cycle of the machine, including handling, travelling, installation, use, dismantling, disabling and scrapping.</p> <p>Other protective measures for stability relevant to safeguarding are given in 6.3.2.6.</p>		P
<b>6.2.7</b>	<b>Provisions for maintainability</b>		P
	<p>When designing a machine, the following maintainability factors shall be taken into account to enable maintenance of the machine:</p> <p>—accessibility, taking into account the environment and the human body measurements, including the dimensions of the working clothes and tools used;</p> <p>—ease of handling, taking into account human capabilities;</p> <p>—limitation of the number of special tools and equipment.</p>		P
<b>6.2.8</b>	<b>Observing ergonomic principles</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>Ergonomic principles shall be taken into account in designing machinery so as to reduce the mental or physical stress of, and strain on, the operator. These principles shall be considered when allocating functions to operator and machine (degree of automation) in the basic design.</p> <p>NOTE Also improved are the performance and reliability of operation and hence the reduction in the probability of errors at all stages of machine use.</p> <p>Account shall be taken of body sizes likely to be found in the intended user population, strengths and postures, movement amplitudes, frequency of cyclic actions (see ISO 10075 and ISO 10075-2).</p>		P
	<p>All elements of the operator-machine interface, such as controls, signalling or data display elements shall be designed to be easily understood so that clear and unambiguous interaction between the operator and the machine is possible. See EN 614-1, EN 13861 and IEC 61310-1.</p> <p>The designer's attention is particularly drawn to following ergonomic aspects of machine design.</p> <p>a) Avoid the necessity for stressful postures and movements during the use of the machine (for example, providing facilities to adjust the machine to suit the various operators).</p> <p>b) Design machines, especially hand-held and mobile machines, so as to enable them to be operated easily, taking into account human effort, actuation of controls and hand, arm and leg anatomy.</p> <p>c) Limit as far as possible noise, vibration and thermal effects such as extreme temperatures.</p> <p>d) Avoid linking the operator's working rhythm to an automatic succession of cycles.</p> <p>e) Provide local lighting on or in the machine for the illumination of the working area and of adjusting, setting-up and frequent maintenance zones when the design features of the machine and/or its guards render the ambient lighting inadequate.</p> <p>Flicker, dazzling, shadows and stroboscopic effects shall be avoided if they can cause a risk. If the position or the lighting source has to be adjusted, its location shall be such that it does not cause any risk to persons making the adjustment.</p> <p>f) Select, locate and identify manual controls (actuators) so that</p> <ul style="list-style-type: none"> <li>—they are clearly visible and identifiable, and appropriately marked where necessary (see 6.4.4),</li> <li>—they can be safely operated without hesitation or loss of time and without ambiguity (for example, a standard layout of controls reduces the possibility of error when an operator changes from a machine to another one of similar type having the same pattern of operation),</li> <li>—their location (for push-buttons) and their movement (for levers and hand wheels) are consistent with their effect (see IEC 61310-3), and</li> <li>—their operation cannot cause additional risk. See also ISO 9355-3.</li> </ul>		P
<b>6.2.9</b>	<b>Electrical hazards</b>		P



<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	For the design of the electrical equipment of machines, IEC 60204-1 gives general provisions about disconnection and switching of electrical circuits and for protection against electric shock. For requirements related to specific machines, see corresponding IEC standards (for example, IEC 61029, IEC 60745 or IEC 60335).		P
<b>6.2.10</b>	<b>Pneumatic and hydraulic hazard</b>		P
	<p>Pneumatic and hydraulic equipment of machinery shall be designed so that</p> <ul style="list-style-type: none"> <li>—the maximum rated pressure cannot be exceeded in the circuits (using, for example, pressure-limiting devices),</li> <li>—no hazard results from pressure fluctuations or increases, or from loss of pressure or vacuum,</li> <li>—no hazardous fluid jet or sudden hazardous movement of the hose (whiplash) results from leakage or component failures,</li> <li>—air receivers, air reservoirs or similar vessels (such as in gasloaded accumulators) comply with the applicable design standard codes or regulations for these elements,</li> <li>—all elements of the equipment, especially pipes and hoses, are protected against harmful external effects,</li> <li>—as far as possible, reservoirs and similar vessels (for example, gas-loaded accumulators) are automatically depressurized when isolating the machine from its power supply (see 6.3.5.4) and, if not possible, means are provided for their isolation, local depressurizing and pressure indication (see also ISO 14118:2000, Clause 5), and</li> <li>—all elements which remain under pressure after isolation of the machine from its power supply are provided with clearly identified exhaust devices, and there is a warning label drawing attention to the necessity of depressurizing those elements before any setting or maintenance activity on the machine.</li> </ul>		P
<b>6.2.11</b>	<b>Applying inherently safe design measures to control systems</b>		P
<b>6.2.11.1</b>	<b>General</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>The design measures of the control system shall be chosen so that their safety-related performance provides a sufficient amount of risk reduction (see ISO 13849-1 or IEC 62061).</p> <p>The correct design of machine control systems can avoid unforeseen and potentially hazardous machine behavior. Typical causes of hazardous machine behavior are</p> <ul style="list-style-type: none"> <li>—an unsuitable design or modification (accidental or deliberate) of the control system logic,</li> <li>—a temporary or permanent defect or failure of one or several components of the control system,</li> <li>—a variation or a failure in the power supply of the control system, and</li> <li>—inappropriate selection, design and location of the control devices.</li> </ul> <p>Typical examples of hazardous machine behavior are</p> <ul style="list-style-type: none"> <li>—unexpected start-up (see ISO 14118),</li> <li>—uncontrolled speed change,</li> <li>—failure to stop moving parts,</li> <li>—dropping or ejection of part of the machine or of a workpiece clamped by the machine, and</li> <li>—machine action resulting from inhibition (defeating or failure) of protective devices.</li> </ul> <p>In order to prevent hazardous machine behaviour and to achieve safety functions, the design of control systems shall comply with the principles and methods presented in this subclause (6.2.11) and in 6.2.12.</p> <p>These principles and methods shall be applied singly or in combination as appropriate to the circumstances (see ISO 13849-1, IEC 60204-1 and IEC 62061).</p>	See IEC/EN 60204-1 report for detail	P
<b>6.2.11.2</b>	<b>Starting of an internal power source/switching on an external power supply</b>		P
	<p>The starting of an internal power source or switching-on of an external power supply shall not result in a hazardous situation. For example:</p> <ul style="list-style-type: none"> <li>—starting the internal combustion engine shall not lead to movement of a mobile machine;</li> <li>—connection to mains electricity supply shall not result in the starting of working parts of a machine.</li> </ul> <p>See IEC 60204-1:2005, 7.5 (see also Annexes A and B).</p>		P
<b>6.2.11.3</b>	<b>Starting/stopping of a mechanism</b>		P

EN ISO 12100			
Clause	Requirement + Test	Result - Remark	Verdict
	<p>The primary action for starting or accelerating the movement of a mechanism should be performed by the application or an increase of voltage or fluid pressure, or — if binary logic elements are considered — by passage from state 0 to state 1 (where state 1 represents the highest energy state).</p> <p>The primary action for stopping or slowing down should be performed by removal or reduction of voltage or fluid pressure, or — if binary logic elements are considered — by passage from state 1 to state 0 (where state 1 represents the highest energy state).</p> <p>In certain applications, such as high-voltage switchgear, this principle cannot be followed, in which case other measures should be applied to achieve the same level of confidence for the stopping or slowing down.</p> <p>When, in order for the operator to maintain permanent control of deceleration, this principle is not observed (for example, a hydraulic braking device of a self-propelled mobile machine), the machine shall be equipped with a means of slowing and stopping in case of failure of the main braking system</p>		P
<b>6.2.11.4</b>	<b>Restart after power interruption</b>		P
	<p>If a hazard could be generated, the spontaneous restart of a machine when it is re-energized after power interruption shall be prevented (for example, by use of a self-maintained relay, contactor or valve).</p>		P
<b>6.2.11.5</b>	<b>Interruption of power supply</b>		P
	<p>Machinery shall be designed to prevent hazardous situations resulting from interruption or excessive fluctuation of the power supply. At least the following requirements shall be met:</p> <ul style="list-style-type: none"> <li>—the stopping function of the machinery shall remain;</li> <li>—all devices whose permanent operation is required for safety shall operate in an effective way to maintain safety (for example, locking, clamping devices, cooling or heating devices, power-assisted steering of self-propelled mobile machinery);</li> <li>—parts of machinery or workpieces and/or loads held by machinery which are liable to move as a result of potential energy shall be retained for the time necessary to allow them to be safely lowered.</li> </ul>		P
<b>6.2.11.6</b>	<b>Use of automatic monitoring N</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>Automatic monitoring is intended to ensure that a safety function or functions implemented by a protective measure do not fail to be performed if the ability of a component or an element to perform its function is diminished, or if the process conditions are changed such that hazards are generated.</p> <p>Automatic monitoring either detects a fault immediately or carries out periodic checks so that a fault is detected before the next demand upon the safety function. In either case, the protective measure can be initiated immediately or delayed until a specific event occurs (for example, the beginning of the machine cycle). The protective measure may be, for example,</p> <ul style="list-style-type: none"> <li>—the stopping of the hazardous process,</li> <li>—preventing the restart of this process after the first stop following the failure, or</li> <li>—the triggering of an alarm.</li> </ul>		P
<b>6.2.11.7</b>	<b>Safety functions implemented by programmable electronic control systems</b>		P
<b>6.2.11.7.1</b>	<b>General</b>		P
	<p>A control system that includes programmable electronic equipment (for example, programmable controllers) can, where appropriate, be used to implement safety functions at machinery. Where a programmable electronic control system is used, it is necessary to consider its performance requirements in relation to the requirements for the safety functions. The design of the programmable electronic control system shall be such</p> <p>that the probability of random hardware failures and the likelihood of systematic failures that can adversely affect the performance of the safety-related control function(s) is sufficiently low. Where a programmable electronic control system performs a monitoring function, the system behavior on detection of a fault shall be considered (see also the IEC 61508 series for further guidance).</p> <p>NOTE Both ISO 13849-1 and IEC 62061, specific to machinery safety, provide guidance applicable to programmable electronic control systems.</p> <p>The programmable electronic control system should be installed and validated to ensure that the specified performance [for example, safety integrity level (SIL) in IEC 61508] for each safety function has been achieved. Validation comprises testing and analysis (for example, static, dynamic or failure analysis) to show that all parts interact correctly to perform the safety function and that unintended functions do not occur.</p>		P
<b>6.2.11.7.2</b>	<b>Hardware aspects</b>		P

EN ISO 12100			
Clause	Requirement + Test	Result - Remark	Verdict
	The hardware (including, for example, sensors, actuators and logic solvers) shall be selected, and/or designed and installed, to meet both the functional and performance requirements of the safety function(s) to be performed, in particular, by means of —architectural constraints (the configuration of the system, its ability to tolerate faults, its behaviour on detection of a fault, etc.), —selection, and/or design, of equipment and devices with an appropriate probability of dangerous random hardware failure, and that unintended functions do not occur.		P
	—the incorporation of measures and techniques within the hardware so as to avoid systematic failures and control systematic faults.		P
<b>6.2.11.7.3</b>	<b>Software aspects</b>		P
	The software, including internal operating software (or system software) and application software, shall be designed so as to satisfy the performance specification for the safety functions (see also IEC 61508-3). Application software should not be reprogrammable by the user. This may be achieved by use of embedded software in a non- reprogrammable memory [for example, micro-controller, application-specific integrated circuit (ASIC)]. When the application requires reprogramming by the user, the access to the software dealing with safety functions should be restricted (for example, by locks or passwords for the authorized persons).		P
<b>6.2.11.8</b>	<b>Principles relating to manual control</b>		P

EN ISO 12100			
Clause	Requirement + Test	Result - Remark	Verdict
	<p>These are as follows.</p> <p>a) Manual control devices shall be designed and located according to the relevant ergonomic principles given in 6.2.8, item f).</p> <p>b) A stop control device shall be placed near each start control device. Where the start/stop function is performed by means of a hold-to-run control, a separate stop control device shall be provided when a risk can result from the hold-to-run control device failing to deliver a stop command when released.</p> <p>c) Manual controls shall be located out of reach of the danger zones (see IEC 61310-3), except for certain controls where, of necessity, they are located within a danger zone, such as emergency stop or teach pendant.</p> <p>d) Whenever possible, control devices and control positions shall be located so that the operator is able to observe the working area or hazard zone.</p> <p>1) The driver of a ride-on mobile machine shall be able to actuate all control devices required to operate the machine from the driving position, except for functions which can be controlled more safely from other positions.</p> <p>2) On machinery intended for lifting persons, controls for lifting and lowering and, if appropriate, for moving the carrier shall generally be located in the carrier. If safe operation requires controls to be situated outside the carrier, the operator in the carrier shall be provided with the means of preventing hazardous movements.</p> <p>e) If it is possible to start the same hazardous element by means of several controls, the control circuit shall be so arranged that only one control is effective at a given time. This applies especially to machines which can be manually controlled by means of, among others, a portable control unit (such as a teach pendant), with which the operator can enter danger zones.</p>		P
	<p>f) Control actuators shall be designed or guarded so that their effect, where a risk is involved, cannot occur without intentional operation (see ISO 9355-1, ISO 9355-3 and ISO 447).</p> <p>g) For machine functions whose safe operation depends on permanent, direct control by the operator, measures shall be implemented to ensure the presence of the operator at the control position (for example, by the design and location of control devices).</p> <p>h) For cableless control, an automatic stop shall be performed when correct control signals are not received, including loss of communication (see IEC 60204-1).</p>		P
6.2.11.9	<b>Control mode for setting, teaching, process changeover, fault-finding, cleaning or Maintenance</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	Where, for setting, teaching, process changeover, fault-finding, cleaning or maintenance of machinery, a guard has to be displaced or removed and/or a protective device has to be disabled, and where it is necessary for the purpose of these operations for the machinery or part of the machinery to be put into operation, the safety of the operator shall be achieved using a specific control mode which simultaneously <ol style="list-style-type: none"> <li>a) disables all other control modes,</li> <li>b) permits operation of the hazardous elements only by continuous actuation of an enabling device, a two-hand control device or a hold-to-run control device,</li> <li>c) permits operation of the hazardous elements only in reduced risk conditions (for example, reduced speed, reduced power/force, step-by-step, for example, with a limited movement control device), and</li> <li>d) prevents any operation of hazardous functions by voluntary or involuntary action on the machine's sensors.</li> </ol> NOTE For some special machinery other protective measures can be appropriate. This control mode shall be associated with one or more of the following measures: <ul style="list-style-type: none"> <li>—restriction of access to the danger zone as far as possible;</li> <li>—emergency stop control within immediate reach of the operator;</li> <li>—portable control unit (teach pendant) and/or local controls (allowing sight of the controlled elements).</li> </ul> See IEC 60204-1.		P
<b>6.2.11.10</b>	<b>Selection of control and operating modes</b>		P
	If machinery has been designed and built to allow for its use in several control or operating modes requiring different protective measures and/or work procedures (for example, to allow for adjustment, setting, maintenance, inspection), it shall be fitted with a mode selector which can be locked in each position. Each position of the selector shall be clearly identifiable and shall exclusively allow one control or operating mode. The selector may be replaced by another selection means which restricts the use of certain functions of the machinery to certain categories of operators (for example, access codes for certain numerically controlled functions).		P
<b>6.2.11.11</b>	<b>Applying measures to achieve electromagnetic compatibility (EMC)</b>		P
	For guidance on electromagnetic compatibility, see IEC 60204-1 and IEC 61000-6.		P
<b>6.2.11.12</b>	<b>Provision of diagnostic systems to aid fault-finding</b>		P
	Diagnostic systems to aid fault-finding should be included in the control system so that there is no need to disable any protective measure. NOTE Such systems not only improve availability and maintainability of machinery, they also reduce the exposure of maintenance staff to hazards.		P
<b>6.2.12</b>	<b>Minimizing probability of failure of safety functions</b>		P
<b>6.2.12.1</b>	<b>General</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>Safety of machinery is not only dependent on the reliability of the control systems but also on the reliability of all parts of the machine.</p> <p>The continued operation of the safety functions is essential for the safe use of the machine. This can be achieved by the measures given in 6.2.12.2 to 6.2.12.4.</p>		P
<b>6.2.12.2</b>	<b>Use of reliable components</b>		P
	<p>“Reliable components” means components which are capable of withstanding all disturbances and stresses associated with the usage of the equipment in the conditions of intended use (including the environmental conditions), for the period of time or the number of operations fixed for the use, with a low probability of failures generating a hazardous malfunctioning of the machine. Components shall be selected taking into account all factors mentioned above (see also 6.2.13).</p> <p>NOTE 1 “Reliable components” is not a synonym for “well-trying components” (see ISO 13849-1:2006, 6.2.4).</p> <p>NOTE 2 Environmental conditions for consideration include impact, vibration, cold, heat, moisture, dust, corrosive and/or abrasive substances, static electricity and magnetic and electric fields. Disturbances which can be generated by those conditions include insulation failures and temporary or permanent failures in the function of control system components.</p>		P
<b>6.2.12.3</b>	<b>Use of “oriented failure mode” components</b>		P
	<p>“Oriented failure mode” components or systems are those in which the predominant failure mode is known in advance and which can be used so that the effect of such a failure on the machine function can be predicted.</p> <p>NOTE In some cases, it will be necessary to take additional measures to limit the negative effects of such a failure. The use of such components should always be considered, particularly in cases where redundancy (see 6.2.12.4) is not employed.</p>		P
<b>6.2.12.4</b>	<b>Duplication (or redundancy) of components or subsystems</b>		P
	<p>In the design of safety-related parts of the machine, duplication (or redundancy) of components may be used so that, if one component fails, another component or components continue to perform the respective function(s), thereby ensuring that the safety function remains available.</p> <p>In order to allow the proper action to be initiated, component failure shall be detected by automatic monitoring (see 6.2.11.6) or in some circumstances by regular inspection, provided that the inspection interval is shorter than the expected lifetime of the components.</p> <p>Diversity of design and/or technology can be used to avoid common cause failures (for example, from electromagnetic disturbance) or common mode failures.</p>		P
<b>6.2.13</b>	<b>Limiting exposure to hazards through reliability of equipment</b>		P



<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>Increased reliability of all component parts of machinery reduces the frequency of incidents requiring intervention, thereby reducing exposure to hazards.</p> <p>This applies to power systems (operative part, see Annex A) as well as to control systems, and to safety functions as well as to other functions of machinery.</p> <p>Safety-related components (for example, certain sensors) of known reliability shall be used.</p> <p>The elements of guards and of protective devices shall be especially reliable, as their failure can expose persons to hazards, and also because poor reliability would encourage attempts to defeat them.</p>		P
<b>6.2.14</b>	<b>Limiting exposure to hazards through mechanization or automation of loading(feeding)/unloading (removal) operations</b>		P
	<p>Mechanization and automation of machine loading/unloading operations and, more generally, of handling operations — of workpieces, materials or substances — limits the risk generated by these operations by reducing the exposure of persons to hazards at the operating points.</p> <p>Automation can be achieved by, for example, robots, handling devices, transfer mechanisms and air-blast equipment.</p> <p>Mechanization can be achieved by, for example, feeding slides, push-rods and hand-operated indexing tables.</p> <p>While automatic feeding and removal devices have much to offer in preventing accidents to machine operators, they can create danger when any faults are being corrected. Care shall be taken to ensure that the use of these devices does not introduce further hazards, such as trapping or crushing, between the devices and parts of the machine or workpieces/materials being processed.</p> <p>Suitable safeguards (see 6.3) shall be provided if this cannot be ensured. Automatic feeding and removal devices with their own control systems and the control system of the associated machine shall be interconnected after thorough study of how all safety functions are performed in all the control and operation modes of the entire equipment.</p>		P
<b>6.2.15</b>	<b>Limiting exposure to hazards through location of setting and maintenance points outside danger zones</b>		P
	The need for access to danger zones shall be minimized by locating maintenance, lubrication and setting points outside these zones.		P
<b>6.3</b>	<b>Safeguarding and complementary protective measures</b>		P
<b>6.3.1</b>	<b>General</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>Guards and protective devices shall be used to protect persons whenever an inherently safe design measure does not reasonably make it possible either to remove hazards or to sufficiently reduce risks. Complementary protective measures involving additional equipment (for example, emergency stop equipment) may have to be implemented.</p> <p>NOTE The different kinds of guards and protective devices are defined in 3.27 and 3.28.</p> <p>Certain safeguards may be used to avoid exposure to more than one hazard.</p> <p>EXAMPLE A fixed guard preventing access to a zone where a mechanical hazard is present used to reduce noise levels and collect toxic emissions.</p>		P
<b>6.3.2</b>	<b>Selection and implementation of guards and protective devices</b>		P
<b>6.3.2.1</b>	<b>General</b>		P
	<p>This subclause gives guidelines for the selection and the implementation of guards and protective devices the primary purpose of which is to protect persons against hazards generated by moving parts, according to the nature of those parts (see Figure 4) and to the need for access to the danger zone(s).</p> <p>The exact choice of a safeguard for a particular machine shall be made on the basis of the risk assessment for that machine. In selecting an appropriate safeguard for a particular type of machinery or hazard zone, it shall be borne in mind that a fixed guard is simple and shall be used where the access of an operator into a danger zone is not required during the normal operation (operation without malfunction) of the machinery. As the need for frequency of access increases, this inevitably leads to the fixed guard not being replaced. This requires the use of an alternative protective measure (movable interlocking guard, sensitive protective equipment).</p> <p>A combination of safeguards can sometimes be required. For example, where, in conjunction with a fixed guard, a mechanical loading (feeding) device is used to feed a workpiece into a machine, thereby removing the need for access to the primary hazard zone, a trip device can be required to protect against the secondary drawing-in or shearing hazard between the mechanical loading (feeding) device, when reachable, and the fixed guard. Consideration shall be given to the enclosure of control positions or intervention zones to provide combined protection against several hazards including</p> <p>a) hazards from falling or ejected objects, using, for example, protection in the form of a falling object protection structure (FOPS),</p> <p>b) emission hazards (protection against noise, vibration, radiation, substances hazardous to health, etc.),</p> <p>c) hazards due to the environment (protection against heat, cold, foul weather, etc.),</p>		P
<b>6.3.2.2</b>	<b>Where access to the hazard zone is not required during normal operation</b>		P

EN ISO 12100			
Clause	Requirement + Test	Result - Remark	Verdict
	Where access to the hazard zone is not required during normal operation of the machinery, safeguards should be selected from the following: a) fixed guards (see also ISO 14120); b) interlocking guards with or without guard locking (see also 6.3.3.2.3, ISO 14119 and ISO 14120); c) self-closing guards (see ISO 14120:2002, 3.3.2); d) sensitive protective equipment, such as electrosensitive protective equipment (see IEC 61496) or pressure-sensitive protective devices (see ISO 13856).		P
<b>6.3.2.3</b>	<b>Where access to the hazard zone is required during normal Operation</b>		P
	Where access to the hazard zone is required during normal operation of the machinery, safeguards should be selected from the following: a) interlocking guards with or without guard locking (see also ISO 14119, ISO 14120 and 6.3.3.2.3 of this document); b) sensitive protective equipment, such as electrosensitive protective equipment (see IEC 61496); c) adjustable guards; d) self-closing guards (see ISO 14120:2002, 3.3.2); e) two-hand control devices (see ISO 13851); f) interlocking guards with a start function (control guard) (see 6.3.3.2.5).		P
<b>6.3.2.4</b>	<b>Where access to the hazard zone is required for machine setting, teaching, process changeover, fault-finding, cleaning or maintenance</b>		P
	production operator also ensure the protection of personnel carrying out setting, teaching, process changeover, fault-finding, cleaning or maintenance, without hindering them in the performance of their task. Such tasks shall be identified and considered in the risk assessment as parts of the use of the machine (see 5.2). NOTE Isolation and energy dissipation for machine shut-down (see 6.3.5.4, and also ISO 14118:2000, 4.1 and Clause 5) ensure the highest level of safety when carrying out tasks (especially maintenance and repair tasks) that do not require the machine to remain connected to its power supply.		P
<b>6.3.2.5</b>	<b>Selection and implementation of sensitive protective equipment1)</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
<b>6.3.2.5.1</b>	<p>Due to the great diversity of the technologies on which their detection function is based, all types of sensitive protective equipment are far from being equally suitable for safety applications. The following provisions are intended to provide the designer with criteria for selecting, for each application, the most suitable device(s).</p> <p>Types of sensitive protective equipment include</p> <ul style="list-style-type: none"> <li>—light curtains,</li> <li>—scanning devices, for example, laser scanners,</li> <li>—pressure-sensitive mats, and</li> <li>—trip bars, trip wires.</li> </ul> <p>Sensitive protective equipment can be used</p> <ul style="list-style-type: none"> <li>—for tripping purposes,</li> <li>—for presence sensing,</li> <li>—for both tripping and presence sensing, or</li> <li>—to re-initiate machine operation — a practice subject to stringent conditions.</li> </ul>		P
	<p>NOTE Some types of sensitive protective equipment can be unsuitable either for presence sensing or for tripping purposes. The following characteristics of the machinery, among others, can preclude the sole use of sensitive protective equipment:</p> <ul style="list-style-type: none"> <li>—tendency for the machinery to eject materials or component parts;</li> <li>—necessity to guard against emissions (noise, radiation, dust, etc.);</li> <li>—erratic or excessive machine stopping time;</li> <li>—inability of a machine to stop part-way through a cycle.</li> </ul>		P
<b>6.3.2.5.2</b>	<b>Implementation</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>Consideration should be given to</p> <p>a) the size, characteristics and positioning of the detection zone (see ISO 13855, which deals with the positioning of some types of sensitive protective equipment),</p> <p>b) the reaction of the device to fault conditions (see IEC 61496 for electrosensitive protective equipment),</p> <p>c) the possibility of circumvention, and</p> <p>d) detection capability and its variation over the course of time (as a result, for example, of its susceptibility to different environmental conditions such as the presence of reflecting surfaces, other artificial light sources and sunlight or impurities in the air).</p> <p>NOTE 1 IEC 61496 defines the detection capability of electrosensitive protective equipment.</p> <p>Sensitive protective equipment shall be integrated in the operative part and associated with the control system of the machine so that</p> <p>—a command is given as soon as a person or part of a person is detected,</p> <p>—the withdrawal of the person or part of a person detected does not, by itself, restart the hazardous machine function(s), and therefore the command given by the sensitive protective equipment is maintained by the control system until a new command is given,</p> <p>—restarting the hazardous machine function(s) results from the voluntary actuation by the operator of a control device placed outside the hazard zone, where this zone can be observed by the operator,</p> <p>—the machine cannot operate during interruption of the detection function of the sensitive protective equipment, except during muting phases, and</p> <p>—the position and the shape of the detection field prevents, possibly together with fixed guards, a person or part of a person from entering or being present in the hazard zone without being detected.</p> <p>NOTE 2 Muting is the temporary automatic suspension of a safety function(s) by safety-related parts of the control system (see ISO 13849-1).</p> <p>For detailed consideration of the fault behaviour of, for example, active optoelectronic protective devices, IEC 61496 should be taken into account.</p>		P
<b>6.3.2.5.3</b>	<b>Additional requirements for sensitive protective equipment when used for cycle initiation</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>In this exceptional application, the starting of the machine cycle is initiated by the withdrawal of a person or of the detected part of a person from the sensing field of the sensitive protective equipment, without any additional start command, hence deviating from the general requirement given in the second point of the dashed list in 6.3.2.5.2, above. After switching on the power supply, or when the machine has been stopped by the tripping function of the sensitive protective equipment, the machine cycle shall be initiated only by voluntary actuation of a start control. Cycle initiation by sensitive protective equipment shall be subject to the following conditions:</p> <p>a) only active optoelectronic protective devices (AOPDs) complying with IEC 61496 series shall be used;</p> <p>b) the requirements for an AOPD used as a tripping and presence-sensing device (see IEC 61496) are satisfied — in particular, location, minimum distance (see ISO 13855), detection capability, reliability and monitoring of control and braking systems;</p> <p>c) the cycle time of the machine is short and the facility to reinitiate the machine upon clearing of the sensing field is limited to a period commensurate with a single normal cycle;</p> <p>d) entering the sensing field of the AOPD(s) or opening interlocking guards is the only way to enter the hazard zone;</p> <p>e) if there is more than one AOPD safeguarding the machine, only one of the AOPDs is capable of cycle re-initiation;</p> <p>f) with regard to the higher risk resulting from automatic cycle initiation, the AOPD and the associated control system comply with a higher safety-related performance than under normal conditions.</p> <p>NOTE 1 The hazard zone as referred to in d) is any zone where the hazardous function (including ancillary equipment and transmission elements) is initiated by clearing of the sensing field.</p> <p>NOTE 2 See also IEC/TS 62046.</p>		P
<b>6.3.2.6</b>	<b>Protective measures for stability</b>		P
	<p>If stability cannot be achieved by inherently safe design measures such as weight distribution (see 6.2.6), it shall be maintained by the use of protective measures such as</p> <ul style="list-style-type: none"> <li>—anchorage bolts,</li> <li>—locking devices,</li> <li>—movement limiters or mechanical stops,</li> <li>—acceleration or deceleration limiters,</li> <li>—load limiters, and</li> <li>—alarms warning of the approach to stability or tipping limits</li> </ul>		P
<b>6.3.2.7</b>	<b>Other protective devices</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>error of the operator can generate a hazardous situation, this machine shall be equipped with the necessary devices to enable the operation to remain within specified limits, in particular</p> <ul style="list-style-type: none"> <li>—when the operator has insufficient visibility of the hazard zone,</li> <li>—when the operator lacks knowledge of the actual value of a safety-related parameter (distance, speed, mass, angle, etc.),</li> </ul> <p>and</p> <ul style="list-style-type: none"> <li>—when hazards can result from operations other than those controlled by the operator.</li> </ul> <p>The necessary devices include</p> <ul style="list-style-type: none"> <li>a) devices for limiting parameters of movement (distance, angle, velocity, acceleration),</li> <li>b) overloading and moment limiting devices,</li> <li>c) devices to prevent collisions or interference with other machines,</li> <li>d) devices for preventing hazards to pedestrian operators of mobile machinery or other pedestrians,</li> <li>e) torque limiting devices, and breakage points to prevent excessive stress of components and assemblies,</li> <li>f) devices for limiting pressure or temperature,</li> <li>g) devices for monitoring emissions,</li> <li>h) devices to prevent operation in the absence of the operator at the control position,</li> <li>i) devices to prevent lifting operations unless stabilizers are in place,</li> <li>j) devices to limit inclination of the machine on a slope, and</li> <li>k) devices to ensure that components are in a safe position before travelling.</li> </ul> <p>Automatic protective measures triggered by such devices that take operation of the machinery out of the control of the operator (for example, automatic stop of hazardous movement) should be preceded or accompanied by a warning signal to enable the operator to take appropriate action (see 6.4.3).</p>		P
<b>6.3.3</b>	<b>Requirements for design of guards and protective devices</b>		P
<b>6.3.3.1</b>	<b>General requirements</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>Guards and protective devices shall be designed to be suitable for the intended use, taking into account mechanical and other hazards involved. Guards and protective devices shall be compatible with the working environment of the machine and designed so that they cannot be easily defeated. They shall provide the minimum possible interference with activities during operation and other phases of machine life, in order to reduce any incentive to defeat them.</p> <p>NOTE For additional information, see ISO 14120, ISO 13849-1, ISO 13851, ISO 14119, ISO 13856, IEC 61496 and IEC 62061.</p> <p>Guards and protective devices shall</p> <ul style="list-style-type: none"> <li>a) be of robust construction,</li> <li>b) not give rise to any additional hazard,</li> <li>c) not be easy to bypass or render non-operational,</li> <li>d) be located at an adequate distance from the danger zone (see ISO 13855 and ISO 13857),</li> <li>e) cause minimum obstruction to the view of the production process, and</li> <li>f) enable essential work to be carried out for the installation and/or replacement of tools and for maintenance by allowing access only to the area where the work has to be carried out — if possible, without the guard having to be removed or protective device having to be disabled.</li> </ul> <p>For openings in the guards, see ISO 13857.</p>		P
<b>6.3.3.2</b>	<b>Requirements for guards</b>		P
<b>6.3.3.2.1</b>	<b>Functions of guards</b>		P
	<p>The functions that guards can achieve are</p> <ul style="list-style-type: none"> <li>—prevention of access to the space enclosed by the guard, and/or</li> <li>—containment/capture of materials, workpieces, chips, liquids which can be ejected or dropped by the machine, and reduction of emissions (noise, radiation, hazardous substances such as dust, fumes, gases) that can be generated by the machine.</li> </ul> <p>Additionally, they could need to have particular properties relating to electricity, temperature, fire, explosion, vibration, visibility (see ISO 14120) and operator position ergonomics (for example, usability, operator's movements, postures, repetitive movements).</p>		P
<b>6.3.3.2.2</b>	<b>Requirements for fixed guards</b>		P
	<p>Fixed guards shall be securely held in place either</p> <ul style="list-style-type: none"> <li>—permanently (for example by welding), or</li> <li>—by means of fasteners (screws, nuts) making removal/opening impossible without using tools; they should not remain closed without their fasteners (see ISO 14120).</li> </ul> <p>NOTE A fixed guard can be hinged to assist in its opening.</p>		P
<b>6.3.3.2.3</b>	<b>Requirements for movable guards</b>		P



EN ISO 12100			
Clause	Requirement + Test	Result - Remark	Verdict
	<p>Movable guards which provide protection against hazards generated by moving transmission parts shall</p> <p>a) as far as possible when open remain fixed to the machinery or other structure (generally by means of hinges or guides), and</p> <p>b) be interlocking (with guard locking when necessary) (see ISO 14119).</p> <p>See Figure 4.</p> <p>Movable guards against hazards generated by non-transmission moving parts shall be designed and associated with the machine control system so that</p> <p>—moving parts cannot start up while they are within the operator's reach and the operator cannot reach moving parts once they have started up, with this able to be achieved by interlocking guards, with guard locking when necessary,</p> <p>—they can be adjusted only by an intentional action, such as the use of a tool or a key, and</p> <p>—the absence or failure of one of their components either prevents starting of the moving parts or stops them, with this able to be achieved by automatic monitoring (see 6.2.11.6).</p> <p>See Figure 4 and ISO 14119.</p>		P
<b>6.3.3.2.4</b>	<b>Requirements for adjustable guards</b>		P
	<p>Adjustable guards may only be used where the hazard zone cannot for operational reasons be completely enclosed.</p> <p>Manually adjustable guards shall be</p> <p>—designed so that the adjustment remains fixed during a given operation, and</p> <p>—readily adjustable without the use of tools.</p>		P
<b>6.3.3.2.5</b>	<b>Requirements for interlocking guards with a start function (control guards)</b>		P
	<p>An interlocking guard with a start function may only be used provided that</p> <p>a) all requirements for interlocking guards are satisfied (see ISO 14119),</p> <p>b) the cycle time of the machine is short,</p> <p>c) the maximum opening time of the guard is preset to a low value (for example, equal to the cycle time) and, when this time is exceeded, the hazardous function(s) cannot be initiated by the closing of the interlocking guard with a start function and resetting is necessary before restarting the machine,</p> <p>d) the dimensions or shape of the machine do not allow a person, or part of a person, to stay in the hazard zone or between the hazard zone and the guard while the guard is closed (see ISO 14120),</p> <p>e) all other guards, whether fixed (removable type) or movable, are interlocking guards,</p> <p>f) the interlocking device associated with the interlocking guard with a start function is designed such that —for example, by duplication of position detectors and use of automatic monitoring (see 6.2.11.6) — its failure cannot lead to an unintended/unexpected start-up, and</p> <p>g) the guard is securely held open (for example, by a spring or counterweight) such that it cannot initiate a start while falling by its own weight</p>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
<b>6.3.3.2.6</b>	<b>Hazards from guards</b>		N
	Care shall be taken to prevent hazards which could be generated by —the guard construction (sharp edges or corners, material, noise emission, etc.), —the movements of the guards (shearing or crushing zones generated by power-operated guards and by heavy guards which are liable to fall).		P
<b>6.3.3.3</b>	<b>Technical characteristics of protective devices</b>		P
	Protective devices shall be selected or designed and connected to the control system such that correct implementation of their safety function(s) is ensured. Protective devices shall be selected on the basis of their having met the appropriate product standard (for example, IEC 61496 for active optoelectronic protective devices) or shall be designed according to one or several of the principles formulated in ISO 13849-1 or IEC 62061. Protective devices shall be installed and connected to the control system so that they cannot be easily defeated.		P
<b>6.3.3.4</b>	<b>Provisions for alternative types of safeguards</b>		P
	Provisions should be made to facilitate the fitting of alternative types of safeguards on machinery where it is known that it will be necessary to change the safeguards because of the range of work to be carried out.		P
<b>6.3.4</b>	<b>Safeguarding to reduce emissions</b>		P
<b>6.3.4.1</b>	<b>General</b>		P
	If the measures for the reduction of emissions at source specified in 6.2.2.2 are not adequate, the machine shall be provided with additional protective measures (see 6.3.4.2 to 6.3.4.5).		P
<b>6.3.4.2</b>	<b>Noise</b>		P
	Additional protective measures against noise include —enclosures (see ISO 15667), —screens fitted to the machine, and —silencers (see ISO 14163).		P
<b>6.3.4.3</b>	<b>Vibration</b>		P
	Additional protective measures against vibration include —vibration isolators, such as damping devices placed between the source and the exposed person, —resilient mounting, and —suspended seats. For measures for vibration isolation of stationary industrial machinery see EN 1299.		P
<b>6.3.4.4</b>	<b>Hazardous substances</b>		N

EN ISO 12100			
Clause	Requirement + Test	Result - Remark	Verdict
	Additional protective measures against hazardous substances include —encapsulation of the machine (enclosure with negative pressure), —local exhaust ventilation with filtration, —wetting with liquids, and —special ventilation in the area of the machine (air curtains, cabins for operators). See ISO 14123-1.		P
<b>6.3.4.5</b>	<b>Radiation</b>		N
	Additional protective measures against radiation include —use of filtering and absorption, and —use of attenuating screens or guards.		P
<b>6.3.5</b>	<b>Complementary protective measures</b>		P
<b>6.3.5.1</b>	<b>General</b>		P
	Protective measures which are neither inherently safe design measures, nor safeguarding (implementation of guards and/or protective devices), nor information for use, could have to be implemented as required by the intended use and the reasonably foreseeable misuse of the machine. Such measures include, but are not limited to, those dealt with in 6.3.5.2 to 6.3.5.6.		P
<b>6.3.5.2</b>	<b>Components and elements to achieve emergency stop function</b>		P
	If, following a risk assessment, a machine needs to be fitted with components and elements to achieve an emergency stop function for enabling actual or impending emergency situations to be averted, the following requirements apply: —the actuators shall be clearly identifiable, clearly visible and readily accessible; —the hazardous process shall be stopped as quickly as possible without creating additional hazards, but if this is not possible or the risk cannot be reduced, it should be questioned whether implementation of an emergency stop function is the best solution;		P
	—the emergency stop control shall trigger or permit the triggering of certain safeguard movements where necessary. NOTE For more detailed provisions, see ISO 13850. Once active operation of the emergency stop device has ceased following an emergency stop command, the effect of this command shall be sustained until it is reset. This reset shall be possible only at the location where the emergency stop command has been initiated. The reset of the device shall not restart the machinery, but shall only permit restarting. More details for the design and selection of electrical components and elements to achieve the emergency stop function are provided in IEC 60204.		P
<b>6.3.5.3</b>	<b>Measures for the escape and rescue of trapped persons</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	Measures for the escape and rescue of trapped persons may consist, among others, of —escape routes and shelters in installations generating operatortrapping hazards, —arrangements for moving some elements by hand, after an emergency stop, —arrangements for reversing the movement of some elements, —anchorage points for descender devices, —means of communication to enable trapped operators to call for help.		P
<b>6.3.5.4</b>	<b>Measures for isolation and energy dissipation</b>		P
	Machines shall be equipped with the technical means to achieve isolation from power supply(ies) and dissipation of stored energy by means of the following actions: a) isolating (disconnecting, separating) the machine (or defined parts of the machine) from all power supplies; b) locking (or otherwise securing) all the isolating units in the isolating position; c) dissipating or, if this is not possible or practicable, restraining (containing) any stored energy which can give rise to a hazard; d) verifying, by means of safe working procedures, that the actions taken according to a), b) and c) above have produced the desired effect. See ISO 14118:2000, Clause 5, and IEC 60204-1:2005, 5.5 and 5.6.		P
<b>6.3.5.5</b>	<b>Provisions for easy and safe handling of machines and their heavy component parts</b>		P
	Machines and their component parts which cannot be moved or transported by hand shall be provided or be capable of being provided with suitable attachment devices for transport by means of lifting gear.		P
	These attachments may be, among others, —standardized lifting appliances with slings, hooks, eyebolts, or tapped holes for appliance fixing, —appliances for automatic grabbing with a lifting hook when attachment is not possible from the ground, —fork locating devices for machines to be transported by a lift truck, —lifting and stowing gear and appliances integrated into the machine. Parts of machinery which can be removed manually in operation shall be provided with means for their safe removal and replacement. See also 6.4.4 c), item 3).		P
<b>6.3.5.6</b>	<b>Measures for safe access to machinery</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>Machinery shall be so designed as to enable operation and all routine tasks relating to setting and/or maintenance to be carried out as far as possible by a person remaining at ground level. Where this is not possible, machines shall have built-in platforms, stairs or other facilities to provide safe access for those tasks; however, care should be taken to ensure that such platforms or stairs do not give access to danger zones of machinery. The walking areas shall be made from materials which remain as slip resistant as practicable under working conditions and, depending on the height from the ground, shall be provided with suitable guard-rails (see ISO 14122-3). In large automated installations, particular attention shall be given to safe means of access, such as walkways, conveyor bridges or crossover points. Means of access to parts of machinery located at height shall be provided with collective means of protection against falls (for example, guard-rails for stairways, stepladders and platforms and/or safety cages for ladders). As necessary, anchorage points for personal protective equipment against falls from height shall also be provided (for example, in carriers of machinery for lifting persons or with elevating control stations). Openings shall, whenever possible, open towards a safe position. They shall be designed to prevent hazards due to unintended opening. The necessary aids for access shall be provided (steps, handholds, etc.). Control devices shall be designed and located to prevent their being used as aids for access. When machinery for lifting goods and/or persons includes landings at fixed levels, these shall be equipped with interlocking guards for preventing falls when the platform is not present at a level. Movement of the lifting platform shall be prevented while the guards are open. For detailed provisions see ISO 14122.</p>		P
<b>6.4</b>	<b>Information for use</b>		P
<b>6.4.1</b>	<b>General requirements</b>		P
<b>6.4.1.1</b>	<p>Drafting information for use is an integral part of the design of a machine (see Figure 2). Information for use consists of communication links, such as texts, words, signs, signals, symbols or diagrams, used separately or in combination to convey information to the user. Information for use is intended for professional and/or non-professional users. NOTE See also IEC 62079 for structuring and presentation of information for use.</p>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
6.4.1.2	<p>Information shall be provided to the user about the intended use of the machine, taking into account, notably, all its operating modes.</p> <p>The information shall contain all directions required to ensure safe and correct use of the machine. With this in view, it shall inform and warn the user about residual risk.</p> <p>The information shall indicate, as appropriate,</p> <ul style="list-style-type: none"> <li>—the need for training,</li> <li>—the need for personal protective equipment, and</li> <li>—the possible need for additional guards or protective devices (see Figure 2, Footnote d).</li> </ul> <p>It shall not exclude uses of the machine that can reasonably be expected from its designation and description and shall also warn about the risk which would result from using the machine in other ways than the ones described in the information, especially considering its reasonably foreseeable misuse.</p>		P
6.4.1.3	<p>Information for use shall cover, separately or in combination, transport, assembly and installation, commissioning, use of the machine (setting, teaching/programming or process changeover, operation, cleaning, fault-finding and maintenance) and, if necessary, dismantling, disabling and scrapping.</p>		P
6.4.2	<p><b>Location and nature of information for use</b></p>		P
	<p>Depending on the risk, the time when the information is needed by the user and the machine design, it shall be decided whether the information — or parts thereof — are to be given</p> <ul style="list-style-type: none"> <li>a) in/on the machine itself (see 6.4.3 and 6.4.4),</li> <li>b) in accompanying documents (in particular instruction handbook, see 6.4.5),</li> <li>c) on the packaging,</li> <li>d) by other means such as signals and warnings outside the machine.</li> </ul> <p>Standardized phrases shall be considered where important messages such as warnings are given (see also IEC 62079).</p>		P
6.4.3	<p><b>Signals and warning devices</b></p>		P
	<p>Visual signals, such as flashing lights and audible signals such as sirens may be used to warn of an impending hazardous event such as machine start-up or overspeed. Such signals may also be used to warn the operator before the triggering of automatic protective measures (see 6.3.2.7).</p> <p>It is essential that these signals</p> <ul style="list-style-type: none"> <li>a) be emitted before the occurrence of the hazardous event,</li> <li>b) be unambiguous,</li> <li>c) be clearly perceived and differentiated from all other signals used, and</li> <li>d) be clearly recognized by the operator and other persons.</li> </ul> <p>The warning devices shall be designed and located such that checking is easy. The information for use shall prescribe regular checking of warning devices.</p> <p>The attention of designers is drawn to the possibility of “sensorial saturation”, which can result from too many visual and/or acoustic signals and which can also lead to defeating the warning devices.</p> <p>NOTE Consultation of the user on this subject is often necessary.</p>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
<b>6.4.4</b>	<b>Markings, signs (pictograms) and written warnings</b>		P
	<p>Machinery shall bear all markings which are necessary</p> <p>a) for its unambiguous identification, including at least</p> <ol style="list-style-type: none"> <li>1) the name and address of the manufacturer,</li> <li>2) the designation of series or type, and</li> <li>3) the serial number, if any,</li> </ol> <p>b) in order to indicate its compliance with mandatory requirements, comprising</p> <ol style="list-style-type: none"> <li>1) marking, and</li> <li>2) written indications, such as the authorized representative of the manufacturer, designation of the machinery, year of construction, and intended use in potentially explosive atmospheres),</li> </ol> <p>c) for its safe use, for example,</p> <ol style="list-style-type: none"> <li>1) maximum speed of rotating parts,</li> <li>2) maximum diameter of tools,</li> <li>3) mass (in kilograms) of the machine itself and/or of removable parts,</li> <li>4) maximum working load</li> </ol> <p>5) necessity of wearing personal protective equipment,</p> <ol style="list-style-type: none"> <li>6) guard adjustment data, and</li> <li>7) frequency of inspection.</li> </ol> <p>Information printed directly on the machine should be permanent and remain legible throughout the expected life of the machine. Signs or written warnings indicating only "Danger" shall not be used.</p>		P
	<p>Markings, signs and written warnings shall be readily understandable and unambiguous, especially as regards the part of the function(s) of the machine to which they are related. Readily understandable signs (pictograms) should be used in preference to written warnings.</p> <p>Signs and pictograms should only be used if they are understood in the culture in which the machinery is to be Used.</p> <p>Written warnings shall be drawn up in the language(s) of the country in which the machine will be used for the first time and, on request, in the language(s) understood by operators.</p> <p>NOTE In some countries the use of specific language(s) is covered by legal requirements.</p> <p>Markings shall comply with recognized standards (for example, ISO 2972 or ISO 7000, for pictograms, symbols and colours in particular).</p> <p>See IEC 60204-1 as regards marking of electrical equipment. See ISO 4413 and ISO 4414 for hydraulic and pneumatic equipment.,</p>		P
<b>6.4.5</b>	<b>Accompanying documents (in particular — instruction handbook)</b>		P
<b>6.4.5.1</b>	<b>Contents</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>The instruction handbook or other written instructions (for example, on the packaging) shall contain, among others, the following:</p> <p>a) information relating to transport, handling and storage of the machine, such as</p> <ol style="list-style-type: none"> <li>1) storage conditions for the machine,</li> <li>2) dimensions, mass value(s), position of the centre(s) of gravity, and</li> <li>3) indications for handling (for example, drawings indicating application points for lifting equipment);</li> </ol> <p>b) information relating to installation and commissioning of the machine, such as</p> <ol style="list-style-type: none"> <li>1) fixing/anchoring and dampening of noise and vibration requirements,</li> <li>2) assembly and mounting conditions,</li> <li>3) space needed for use and maintenance,</li> <li>4) permissible environmental conditions (for example, temperature, moisture, vibration, electromagnetic radiation),</li> <li>5) instructions for connecting the machine to power supply (particularly on protection against electrical overloading),</li> <li>6) advice on waste removal/disposal, and</li> <li>7) if necessary, recommendations related to protective measures which have to be implemented by the user — for example, additional safeguards (see Figure 2, Footnote d), safety distances, safety signs and signals;</li> </ol> <p>c) information relating to the machine itself, such as</p> <ol style="list-style-type: none"> <li>1) detailed description of the machine, its fittings, guards and/or protective devices,</li> <li>2) the comprehensive range of applications for which the machine is intended, including prohibited usages, if any, taking into account variations of the original machine if appropriate,</li> <li>3) diagrams (especially schematic representation of safety functions),</li> </ol>		P



EN ISO 12100			
Clause	Requirement + Test	Result - Remark	Verdict
	<p>4) data on noise and vibration generated by the machine, and on radiation, gases, vapours and dust emitted by it, with reference to the measuring methods (including measurement uncertainties) used,</p> <p>5) technical documentation of electrical equipment (see IEC 60204), and</p> <p>6) documents attesting that the machine complies with mandatory requirements;</p> <p>d) information relating to the use of the machine, such as that related to or describing</p> <p>1) intended use,</p> <p>2) manual controls (actuators),</p> <p>3) setting and adjustment,</p> <p>4) modes and means for stopping (especially emergency stop),</p> <p>5) risks which could not be eliminated by the protective measures implemented by the designer,</p> <p>6) particular risks which can be generated by certain applications, by the use of certain fittings, and about specific safeguards necessary for such applications,</p> <p>7) reasonably foreseeable misuse and prohibited applications,</p> <p>8) fault identification and location, for repair and for restarting after an intervention, and</p> <p>9) personal protective equipment needed to be used and the training that is required;</p> <p>e) information for maintenance, such as</p> <p>1) the nature and frequency of inspections for safety functions,</p> <p>2) specification of the spare parts to be used when these can affect the health and safety of operators,</p> <p>3) instructions relating to maintenance operations which require a definite technical knowledge or particular skills and hence need to be carried out exclusively by skilled persons (for example, maintenance staff, specialists),</p> <p>4) instructions relating to maintenance actions (replacement of parts, etc.) which do not require specific skills and hence may be carried out by users (for example, operators), and</p> <p>5) drawings and diagrams enabling maintenance personnel to carry out their task rationally (especially fault-finding tasks);</p> <p>f) information relating to dismantling, disabling and scrapping;</p>		P
	<p>1) the operating method to be followed in the event of accident or breakdown,</p> <p>2) the type of fire-fighting equipment to be used, and</p> <p>3) a warning of possible emission or leakage of hazardous substance(s) and, if possible, an indication of means for fighting their effects;</p> <p>h) maintenance instructions provided for skilled persons [item e) 3) above] and maintenance instructions provided for unskilled persons [item e) 4) above], that need to appear clearly separated from each other.</p>		P
<b>6.4.5.2</b>	<b>Production of instruction handbook</b>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	<p>The following applies to the production and presentation of the instruction handbook.</p> <p>a) The type font and size of print shall ensure the best possible legibility. Safety warnings and/or cautions should be emphasized by the use of colours, symbols and/or large print.</p> <p>b) The information for use shall be given in the language(s) of the country in which the machine will be used for the first time and in the original version. If more than one language is to be used, each should be readily distinguished from another, and efforts should be made to keep the translated text and relevant illustration together.</p> <p>NOTE In some countries the use of specific language(s) is covered by legal requirements.</p> <p>c) Whenever helpful to the understanding, text should be supported by illustrations. These illustrations should be supplemented with written details enabling, for example, manual controls (actuators) to be located and identified. They should not be separated from the accompanying text and should follow sequential operations.</p> <p>d) Consideration should be given to presenting information in tabular form where this will aid understanding. Tables should be adjacent to the relevant text.</p> <p>e) The use of colours should be considered, particularly in relation to components requiring quick identification.</p> <p>f) When information for use is lengthy, a table of contents and/or an index should be provided.</p> <p>g) Safety-relevant instructions which involve immediate action should be provided in a form readily available to the operator.</p>		P
<b>6.4.5.3</b>	<b>Drafting and editing information for use</b>		P
	<p>The following applies to the drafting and editing of information for use.</p> <p>a) Relationship to model: the information shall clearly relate to the specific model of machine and, if necessary, other appropriate identification (for example, by serial number).</p> <p>b) Communication principles: when information for use is being prepared, the communication process “see – think – use” should be followed in order to achieve the maximum effect and should follow sequential operations. The questions, “How?” and “Why?” should be anticipated and the answers provided.</p> <p>c) Information for use shall be as simple and as brief as possible, and should be expressed in consistent terms and units with a clear explanation of unusual technical terms.</p> <p>d) When it is foreseen that a machine will be put to nonprofessional use, the instructions should be written in a form that is readily understood by the non-professional user. If personal protective equipment is required for the safe use of the machine, clear advice should be given, for example, on the packaging as well as on the machine, so that this information is prominently displayed at the point of sale.</p>		P

<b>EN ISO 12100</b>			
<b>Clause</b>	<b>Requirement + Test</b>	<b>Result - Remark</b>	<b>Verdict</b>
	e) Durability and availability of the documents: documents giving instructions for use should be produced in durable form (i.e. they should be able to survive frequent handling by the user). It can be useful to mark them “keep for future reference”. Where information for use is kept in electronic form (CD, DVD, tape, hard disk, etc.), information on safety-related issues that need immediate action shall always be backed up with a hard copy that is readily available.		P
<b>7</b>	<b>Documentation of risk assessment and risk reduction</b>		P
	<p>The documentation shall demonstrate the procedure that has been followed and the results that have been achieved. This includes, when relevant, documentation of</p> <ul style="list-style-type: none"> <li>a) the machinery for which the risk assessment has been made (for example, specifications, limits, intended use);</li> <li>b) any relevant assumptions that have been made (loads, strengths, safety factors, etc.);</li> <li>c) the hazards and hazardous situations identified and the hazardous events considered in the risk assessment;</li> <li>d) the information on which risk assessment was based (see 5.2)</li> </ul> <p>P</p> <ul style="list-style-type: none"> <li>1) the data used and the sources (accident histories, experience gained from risk reduction applied to similar machinery, etc.);</li> <li>2) the uncertainty associated with the data used and its impact on the risk assessment;</li> </ul> <ul style="list-style-type: none"> <li>e) the risk reduction objectives to be achieved by protective measures;</li> <li>f) the protective measures implemented to eliminate identified hazards or to reduce risk;</li> <li>g) residual risks associated with the machinery;</li> <li>h) the result of the risk assessment (see Figure 1);</li> <li>i) any forms completed during the risk assessment.</li> </ul> <p>Standards or other specifications used to select protective measures referred to in f) above should be referenced.</p> <p>NOTE No requirement is given in this International Standard to deliver the risk assessment documentation together with the machine. See ISO/TR 14121-2 for information on documentation.</p>		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
<b>4</b>	<b>GENERAL REQUIREMENTS</b>		P
<b>4.1</b>	<b>General</b>		P
	Hazards relevant to the electrical equipment are assessed as part of the overall risk assessment of the machine as described		P
<b>4.2</b>	<b>Selection of equipment</b>		P
4.2.1	Electrical components and devices shall be: <ul style="list-style-type: none"> <li>- suitable for their intended use</li> <li>- conform to IEC standards where such exist</li> <li>- be applied in accordance with supplier's instructions</li> </ul>		P
4.2.2	Where appropriate electrical equipment in compliance with IEC 61439 series		P
<b>4.3</b>	<b>Electrical supply</b>		P
4.3.1	Electrical equipment to be designed for correct operation within the conditions of mains power supply		P
	- as stated below (4.3.2 or 4.3.3)		P
	- or as specified by the user		P
	- or as specified by the supplier (4.3.4)		P
4.3.2	AC supplies		P
	Supply Voltage: Steady state voltage: 0.9 ... 1.1 of nominal voltage		P
	Frequency: 0.99 ... 1.01 of nominal frequency continuously; 0.98 ... 1.02 short time.		P
	Harmonics: not exceeding 12 % of the total r.m.s. etc.		P
	Voltage unbalance: not exceeding 2% deviation		P
	Voltage interruption: interrupted or at zero voltage for not more than 3 ms at any random time in the supply cycle with more than 1 s between successive interruptions		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	Voltage dips not exceeding 20 % of the rms voltage of the supply for more than one cycle with more than 1 s between successive dips		P
4.3.3	DC supplies		P
	Supply voltage: - batteries: 0.85 – 1.15 of nominal voltage - battery-operated vehicles: 0.7 – 1.2 of nom. volt. - from converting equipment: 0.9 – 1.1 of nom. volt.		P
	Voltage interruption: - batteries: not exceeding 5 ms - converting equipment: not exceeding 20 ms		P
	Ripple (peak-to-peak): not exceed. 0.15 of nom. volt.		P
4.3.4	Special supply systems (e.g. on-board generators, DC bus) limits acc. 4.3.2 /3 may be exceeded, provided equipment is designed accordingly		P
<b>4.4</b>	<b>Physical environment and operating conditions</b>		P
4.4.1	Electrical equipment suitable for the physical environment and operating conditions of its intended use.		P
4.4.2	Immunity and/or emission tests required unless		P
	- incorporated devices and components comply with the relevant product standard and		P
	- installation and wiring according supplier instructions or Annex H:		P
4.4.3	Electrical equipment shall be capable of operating correctly in the intended ambient air temperature. (Minimum requirement: air temperatures of +5 °C and +40 °C)		P
4.4.4	Electrical equipment shall be capable of operating correctly when the relative humidity is up to 50 % at a maximum temperature of +40 °C		P
	Harmful effects of condensation shall be avoided		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
4.4.5	Electrical equipment shall be capable of operating correctly at altitudes up to 1 000 m above mean sea level		P
	For equipment to be used at higher altitudes the reduction of dielectric strength, switching capability and cooling effects shall be taken into account		P
4.4.6	Electrical equipment shall be adequately protected against the ingress of solids and liquids (see 11.3)		P
4.4.7	When equipment is subjected to radiation, additional measures shall be taken		P
4.4.8	Undesirable effects of vibration, shock and bump avoided by suitable means		P
4.5	Electrical equipment designed to withstand the effects of transportation and storage within a temperature range of - 25 to + 55 °C		P
4.6	Heavy or bulky electrical equipment of the machine provided with suitable means for handling		P
<b>5</b>	<b>INCOMING SUPPLY CONDUCTOR TERMINATIONS AND DEVICES FOR DISCONNECTING AND SWITCHING OFF</b>		P
<b>5.1</b>	<b>Incoming supply conductor terminations</b>		P
	Recommendation that electrical equipment of a machine is connected to a single supply (For large complex machinery, there can be a need for more than one incoming supply)		P
	Unless a plug is provided, supply conductors should be terminated at the supply disconnecting device		P
	Neutral conductor clearly indicated in technical documentation with "N" (see cl. 16.1)		P
	A separate terminal, labelled N provided (it may be part of the supply disconnecting device)		P
	No connection between neutral conductor and protective bonding circuit		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	Exception: a connection may be made between the neutral terminal and the PE terminal at the point of the connection of the electrical equipment to a TN-C supply system.		P
	For machines supplied from parallel sources the requirements of IEC 60364-1 apply		P
	All terminals of incoming supply clearly marked in ac. with IEC 60445)		P
<b>5.2</b>	<b>Terminal for connection of external protective conductor (PE)</b>		P
	For each incoming supply, a terminal shall be provided in the same compartment as the line conductor terminals for connection to the external protective conductor		P
	Terminal size according to table 1 in relation to the line conductors		P
	Where an external protective conductor other than copper is used, the terminal size and type shall be selected accordingly		P
	At each incoming point this terminal shall be marked or labelled with the letters PE		P
<b>5.3</b>	<b>Supply disconnecting device</b>		P
5.3.1	A supply disconnecting device shall be provided: – for each incoming supply to a machine – for each on-board power supply		P
	Where two or more such devices exist, interlocks shall be provided to prevent hazardous situations		P
5.3.2	The supply disconnecting device shall be one of the following:		—P
	a) a switch-disconnector, acc. to IEC 60947-3 for at least appliance category AC-23 B or DC-23 B		P
	b) a control and protective switching device suitable for insulation acc. to IEC 60947-6-2		P
	c) a circuit-breaker suitable for isolation (acc. to IEC 60947-2)		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	d) any other switching device in accordance with an IEC product standard for that device and which meets the isolation requirements and the appropriate utilization category and/or specified endurance requirements		P
	e) a plug/socket combination for a flexible cable supply		P
5.3.3	A disconnection device acc. to 5.3.2 a) to d) has to fulfil all of the following requirements		—P
	- isolate the electrical equipment from the supply and have one OFF (isolated) and one ON position marked with "O" and "I"		P
	- have a visible contact gap or a position indicator which cannot indicate OFF (isolated) until all contacts are actually open and the requirements for the isolating function have been satisfied		P
	- have an operating means (see 5.3.4)		P
	- coloured black or grey recommended (If used as an emergency stop, red/yellow combination selected)		P
	- be provided with a means permitting it to be locked in the OFF position (padlocks). When so locked, remote as well as local closing shall be prevented		P
	- disconnect all live conductors of its power supply circuit For TN supply systems, the neutral conductor may or may not be disconnected except in countries where disconnection of the neutral conductor (when used) is compulsory		P
	- have a braking capacity to interrupt the system, when the largest motor is stalled		P



IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	A plug/socket combination used as a disconnection device shall: - comply with 13.4.5 - have a braking capacity to interrupt the system, when the largest motor is stalled		P
5.3.4	Operating means of supply disconnecting devices (e.g. a handle) shall be external to the enclosure		P
	Exception: for power-operated switchgear this can be some other means (e.g. pushbutton) instead of a handle		P
	The operating means shall be easily accessible and located between 0,6 m and 1,9 m above the servicing level (upper limit of 1,7 m is recommended)		P
	Where intended for emergency operation, see 10.7.3 or 10.8.3		P
	Where not intended for emergency operation - the colours black or grey are recommended - a supplementary cover or door that can be readily opened without a key or tool may be provided. It shall clearly show its function, e.g. by relevant symbols		P
5.3.5	The following circuits need not be disconnected by the supply disconnecting device: - lighting circuits for lighting needed during maintenance or repair; - socket outlets for the exclusive connection of repair or maintenance tools and equipment; - undervoltage protection circuits that are only provided for automatic tripping in the event of supply failure; - circuits supplying equipment that should normally remain energized for correct operation  Such circuits should be provided with their own disconnecting device.		P
	Where expected circuits are not disconnected by the supply disconnecting device:		—P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	- permanent warning labels shall be placed close to the operating means		P
	- a statement shall be included in the maintenance manual and		P
	- the conductors are identified by colour, taking into account the recommendation of Cl.13.2.4, or - expected circuits are separated from other circuits, or - expected circuits are identified by permanent warning labels		P
<b>5.4</b>	<b>Devices for removal of power for prevention of unexpected start-up</b>		P
	Devices for removal of power for the prevention of unexpected start-up shall be provided where this can create a hazard		P
	They shall be appropriate and convenient for the intended use, suitably placed, and readily identifiable as to their function and purpose		P
	Where not obvious, they shall be marked to indicate the extent of removal of power		P
	Devices in accordance with 5.3.2 may be used for this purpose		P
	Disconnectors, withdrawable fuse links and withdrawable links only used, if located in enclosed electrical operator area (see 3.1.23)		P
	Devices that do not fulfil the isolation function (e.g. a contactor switched off by a control circuit etc.) only used for tasks such as: - inspections; - adjustments; - work on the electrical equipment where there are only minor risks (as described)		P
<b>5.5</b>	<b>Devices for isolating electrical equipment</b>		P
	Devices shall be provided for isolating electrical equipment or parts of it to enable work		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	Such devices shall be: - appropriate and convenient for the intended use; - suitably placed; - readily identifiable as to which part or circuit of the equipment is served. They shall be marked unless their function and purpose is obvious		P
	Where it is necessary to work on individual parts of the electrical equipment of a machine, or on one of a number of machines fed by a common conductor bar, conductor wire or inductive power supply system, a disconnecting device is provided for each part, or for each machine, requiring separate isolation		P
	In addition, the following devices that fulfil the isolation function may be provided for this purpose: - devices described in 5.3.2; - disconnectors, withdrawable fuse links and withdrawable links only used, if located in enclosed electrical operator area (see 3.1.23) and information provided (see cl 17)		P
<b>5.6</b>	<b>Protection against unauthorized, inadvertent and/or mistaken connection</b>		P
	Where devices acc. to cl. 5.4 and 5. are located outside an enclosed electrical operator area, locking means in OFF position shall be provided When so secured, local and remote reconnection shall be prevented		P
	Where these devices are located inside an enclosed electrical operator area, other means of protection against unintended reconnection can be sufficient		P
	Where a plug/socket combinations is so positioned that it can be kept under the immediate supervision of the person carrying out the work, means for securing in the disconnected state are not needed		P

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Clause	Requirement - Test	Result - Remark	Verdict
<b>6</b>	<b>PROTECTION AGAINST ELECTRIC SHOCK</b>		P
6.1	The electrical equipment shall provide protection against electric shock by basic protection and fault protection		P
	Where the measures for protection as in 6.2, 6.3 and 6.4 are not practicable, other measures from IEC 60364-4-41 may be used (e.g. SELV)		P
<b>6.2</b>	<b>Basic protection</b>		P
6.2.1	For each circuit the measures of 6.2.2, 6.2.3 and, where applicable, 6.2.4 shall apply		P
	Where not appropriate, other measures as defined in IEC 60364-4-41 may be applied (see also 6.2.5 and 6.2.6)		P
	For equipment in places open to all persons including children, 6.2.2 with a minimum protection of IP4X or IPXXD, or 6.2.3 shall be applied		P
6.2.2	Live parts shall be located inside enclosures that provide protection against contact with live parts of at least IP2X or IPXXB.		P
	Where the top surfaces of the enclosure are readily accessible, the minimum degree of protection against contact with live parts provided by the top surfaces shall be IP4X or IPXXD.		P
	Opening an enclosure (i.e. opening doors, lids, covers, etc) shall be possible only under one of the following conditions:		—

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Clause	Requirement - Test	Result - Remark	Verdict
	<p>a) The use of a key or tool is necessary for access</p> <p>All live parts (including those on the inside of doors) likely to be touched when resetting or adjusting devices intended for such operations while the equipment is still connected, are protected against contact to at least IP2X or IPXXB</p> <p>Other live parts on the inside of doors are protected against unintentional direct contact to at least IP1X or IPXXA.</p>		P

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Clause	Requirement - Test	Result - Remark	Verdict
	<p>b) The disconnection of live parts inside the enclosure before it can be opened (see explanation)</p> <p>Exception: a key or tool as prescribed by the supplier can be used to defeat the interlock, provided that the following conditions are met:</p> <ul style="list-style-type: none"> <li>- it is possible at all times while the interlock is defeated to open the disconnecting device and lock the disconnecting device in the OFF position or otherwise prevent unauthorised closure of the disconnecting device;</li> <li>- upon closing the door, the interlock is automatically restored</li> <li>- all live parts ( ), likely to be touched ... are protected against unintentional contact to at least IP2X or IPXXB and other live parts on the inside of doors shall be protected against unintentional contact to at least IP1X or IPXXA</li> <li>- relevant information about the procedure for the defeat of the interlock is provided with the instructions for use of the electrical equipment</li> <li>- means are provided to restrict access to live parts behind doors that are not directly interlocked with the disconnecting means to skilled or instructed persons</li> </ul> <p>All parts still alive after switching off the disconnecting device shall be protected against direct contact to at least IP 2X or IP XXB and be marked with a warning sign in accordance with 16.2.1 except for:</p> <ul style="list-style-type: none"> <li>- parts that can be live only because of connection to interlocking circuits and that are distinguished by colour as potentially live in accordance with 13.2.4</li> <li>- the supply terminals of the supply disconnecting device when the latter is mounted alone in a separate enclosure</li> </ul>		P

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Clause	Requirement - Test	Result - Remark	Verdict
	<p>c) Opening without the use of a key or a tool and without disconnection of live parts shall be possible only when all live parts are protected against contact to at least IP2X or IPXXB.</p> <p>Where barriers provide this protection, either they shall require a tool for their removal or all live parts protected by them shall be automatically disconnected when the barrier is removed.</p> <p>Where a hazard can be caused by manual action of devices ( ) , such action shall be prevented by barriers or obstacles that require a tool for their removal</p>		P
6.2.3	Live parts protected by insulation shall be completely covered with insulation that can only be removed by destruction and that is capable of withstanding the mechanical, chemical, electrical, and thermal stresses to which it can be subjected under normal operating conditions		P
	Note: Paint, varnish lacquer etc. alone are generally considered inadequate		P
6.2.4	Live parts having a residual voltage greater than 60 V when disconnected, shall be discharged to 60 V or less within 5 s, if this does not interfere with the proper functioning of the equipment		P
	Exempted are components having stored charges of 60 $\mu$ C or less		P
	Where not possible , an appropriate warning shall be placed according to the details given		P
	<p>In case of pins of plugs etc. the discharge time shall not exceed 1s.</p> <p>Otherwise such conductors shall be protected to at least IP2X or IPXXB.</p>		P
	If above requirements cannot be achieved, additional disconnecting devices or appropriate warning devices shall be provided		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	When equipment is accessible to all persons incl. children, warnings are not sufficient and a protection of IP4X or IPXXD is required		P
6.2.5	For protection by barriers, the requirements of IEC 60364-4-41 shall apply (412.2)		P
6.2.6	For protection by placing out of reach or protection by obstacles, the requirements of IEC 60364-4-41 shall apply (412.4 and 412.3)		P
	For conductor wire or bar systems with less than IP2X or IPXXB, see 12.7.1		P
<b>6.3</b>	<b>Fault protection</b>		P
6.3.1	For each circuit or part of el. equipment at least one of the measures of 6.3.2 to 6.3.3 shall be applied:		—
	- Prevention of the occurrence of a touch voltage		P
	- Protection by automatic disconnection of supply		P
6.3.2	Prevention of the occurrence of a touch voltage		P
6.3.2.2	Protection by provision of one or more of the following:		—
	- class II electrical devices or apparatus (double insulation, reinforced insulation or by equivalent insulation in accordance with IEC 61140) or		P
	- switchgear and control gear assemblies having total insulation in accordance with IEC 61439-1 or		P
	- supplementary or reinforced insulation in accordance with IEC 60364-4-41(413.2)		P
6.3.2.3	For protection by electrical separation the requirements of IEC 60364-4-41 apply (413.5)		P
6.3.3	Protection by automatic disconnection of supply		P
	This measure consists of the interruption of one or more line conductors in a time within the limits specified in Annex A for TN and TT systems		P



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Clause	Requirement - Test	Result - Remark	Verdict
	This requires co-ordination between: <ul style="list-style-type: none"> <li>- the type of supply, the source impedance and the earthing system</li> <li>- several impedance values</li> <li>- characteristics of protective devices</li> <li>- (For details see 18.2)</li> </ul>		P
	This protective measure comprises both:		—
	- protective bonding of exposed parts (8.2.3)		P
	-..... one of the following:		—
	a) In TN systems, the following protective devices may be used:		P
	<ul style="list-style-type: none"> <li>• overcurrent protective device or</li> </ul>		P
	<ul style="list-style-type: none"> <li>• residual current protective devices (RCDs) and associated overcurrent protective devices</li> </ul>		P
	b) In TT systems either:		P
	<ul style="list-style-type: none"> <li>• RCDs and associated overcurrent protective devices or</li> </ul>		P
	<ul style="list-style-type: none"> <li>• overcurrent protective devices provided a low fault loop impedance is assured</li> </ul>		P
	c) In IT-Systems the requirements of IEC 60364-4-41 shall be fulfilled		P
	During an insulation fault an acoustic and an optical signal shall be sustained. The acoustic signal may manually be muted		P
	Where automatic disconnection is provided under a) and disconnection acc. to A.1.1 cannot be assured, supplementary protective bonding shall be provided to fulfil A.1.3		P
	Where protection of a PDS (power drive system) is not provided by the converter, the necessary protection shall be acc. to the converter manufacturer's instructions		P
<b>6.4</b>	<b>Protection by the use of PELV</b>		N

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Clause	Requirement - Test	Result - Remark	Verdict
6.4.1	PELV circuits shall satisfy all of the following conditions:		—
	a) the nominal voltage does not exceed: - 25 V AC r.m.s. or 60 V ripple-free AC when the equipment is normally used in dry locations and when large area contact of live parts with the human body is not expected; or - 6 V AC r.m.s. or 15 V ripple-free DC in all other cases;		N
	b) one side of the circuit or one point of the source of the supply of that circuit is connected to the protective bonding circuit;		N
	c) live parts of PELV circuits shall be electrically separated from other live circuits (see IEC 61558)		N
	d) conductors of each PELV circuit shall be physically separated from those of any other circuit. If this requirement is impracticable, the insulation provisions of 13.1.3 shall apply		N
	e) plugs and socket-outlets for a PELV circuit shall conform to the following: - plugs shall not to enter socket-outlets of other voltage systems - socket-outlets shall not admit plugs of other voltage systems		N
6.4.2	The sources for PELV shall be one of the following:		—
	- a safety isolating transformer in accordance with IEC 61558-1 and IEC 61558-2-6 or		N
	- a source of current with a degree of safety equi-valent to that of the safety isolating transformer or		N
	- a source independent of circuit with higher voltage (e.g. battery or diesel –driven) or		N
	- electronic power supply conforming to appropriate standards		N

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Clause	Requirement - Test	Result - Remark	Verdict
<b>7.</b>	<b>PROTECTION OF EQUIPMENT</b>		P
7.2	<b>Overcurrent protection</b>		P
7.2.1	Overcurrent protection shall be provided where the current in any circuit can exceed the rating of a component or the capacity of a conductor		P
7.2.2	Supply conductors		—
	Unless otherwise specified by the user, the supplier of the electrical equipment is not responsible for providing the supply conductors or the overcurrent protective device for it		P
	In the installation documents, the data necessary for conductor dimensioning and selecting the overcurrent protective device are stated (see 7.2.10 and 17.4)		P
7.2.3	Power circuits		P
	Devices for detection and interruption of overcurrent, selected in accordance with 7.2.10, are applied to each live conductor including supplies to control circuit transformers.		P
	The following conductors shall not be disconnected without disconnecting all associated live conductors: - the neutral conductor of AC power circuits; - the earthed conductor of DC power circuits; - DC power conductors bonded to exposed conductive parts of mobile machines.		P
	Where the cross-section area of the neutral conductor is at least equal to the line conductor, no overcurrent detection nor disconnecting device is required for that conductor		P
	Otherwise the measures detailed in 524 of IEC 60364-5-52:2009 shall apply		P

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Clause	Requirement - Test	Result - Remark	Verdict
	In IT-Systems, it is recommended that no neutral conductor is used. Where a neutral conductor is used, the measures detailed in 431.2.2 of IEC 60364-4-43:2008 shall apply		P
7.2.4	Control circuits		P
	Conductors of control circuits directly connected to the supply shall be protected against overcurrent in accordance with 7.2.3.		P
	Conductors of control circuits supplied by a transformer or DC supply shall be protected against overcurrent (see also 9.4.3.1.1):		—
	- In control circuits, connected to the protective bonding circuit, by an overcurrent protective device in the switched conductor		P
	- In circuits, not connected to the protective bonding circuit: <ul style="list-style-type: none"> <li>• Where all control circuits have the same current carrying capacity, by an overcurrent protective device in the switched conductor</li> <li>• Otherwise, by an overcurrent protective device in both, switched and common conductors of each control circuit</li> </ul>		P
	Exception: Where a supply unit provides current limiting below the capacity of the conductors and the connected components, no overcurrent protective device is required		P
7.2.5	Overcurrent protection shall be provided for circuits feeding general purpose socket outlets		P
7.2.6	Unearthed conductors of lighting circuits shall be protected separately from other circuits.		P

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Clause	Requirement - Test	Result - Remark	Verdict
7.2.7	Transformers shall be protected in accordance with the manufacturer's instructions and includes: <ul style="list-style-type: none"> <li>- avoiding tripping due to transformer magnetizing inrush currents</li> <li>- avoiding a winding temperature rise in excess of the permitted value for the insulation class when there is a short circuit at the secondary terminals</li> </ul>		P
7.2.8	Location of overcurrent protective devices		P
	It shall be located at the point where a reduction in the cross sectional area of the conductors or another change reduces the current-carrying capacity of the conductors except:		P
	<ul style="list-style-type: none"> <li>- current carrying capacity of the conductors is at least equal to that of the load and</li> <li>- conductors between the point of reduction of current-carrying capacity and the position of the overcurrent protective device is <math>\leq 3</math> m and</li> <li>- the conductor is protected e.g. by an enclosure or duct.</li> </ul>		P
7.2.9	Overcurrent protective devices		P
	The rated short-circuit breaking capacity $I_{cn}$ shall be at least equal to the prospective fault current at the point of installation. Additional currents other than from the supply (e.g. from motors, from power factor correction capacitors) shall be taken into consideration.		P
	Where fuses are provided as overcurrent protective devices, a type readily available in the country of use shall be selected, or arrangements shall be made for the supply of spare parts.		P
7.2.10	Rating and setting of overcurrent protective devices:		P
	Rated current of fuses or overcurrent setting of other protective devices selected as low as possible, but adequate for anticipated overcurrents.		P


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Clause	Requirement - Test	Result - Remark	Verdict
	The rated current of overcurrent protective device for conductors is determined by the current carrying capacity of the conductors to be protected in accordance with Cl. 12.4, D.2 and the maximum allowable interrupting time $t$ in accordance with Clause D.3.		P
<b>7.3</b>	<b>Protection of motors against overheating</b>		P
7.3.1	Protection shall be provided for each motor rated at more than 0.5 kW.		P
	Exception: In applications where an automatic interruption of the motor operation is unacceptable (for example fire pumps), the means of detection shall give a warning signal to which the operator can respond.		P
	Automatic restarting prevented where this can cause a hazard		P
7.3.2	Protection achieved by overload protection device: <ul style="list-style-type: none"> <li>- detection in each live conductor</li> <li>- switching off of all live conductors (not necessary to switch of neutral conductor)</li> </ul>		P
	For special duty motors, appropriate protective devices are recommended		P
	For motors that cannot be overloaded, overload protection is not required.		P
7.3.3	Protection achieved by over-temperature protection device: Is recommended in situations where the cooling can be impaired (for example dusty environments)		P
7.4	Equipment shall be protected against abnormal temperatures that can result in a hazardous situation.		P
<b>7.5</b>	<b>Protection against the effects of supply interruption or voltage reduction and subsequent restoration</b>		P


IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	Where a supply interruption or a voltage reduction can cause a hazardous situation, damage to the machine, or to the work in progress, undervoltage protection is provided.		P
	Upon restoration of supply voltage, automatic or unexpected restarting of machine prevented.		P
	Undervoltage protection does initiate appropriate control responses to ensure necessary coordination of groups of machines working together		P
7.6	Motor overspeed protection shall be provided where overspeeding can occur and could possibly cause a hazardous situation.		P
7.8	Phase sequence protection shall be provided, where an incorrect phase sequence of the supply voltage can cause a hazardous situation or damage to the machine.		P
7.9	Surge protective devices (SPDs) can be provided to protect against the effects of overvoltages due to lightning or to switching surges.		P
7.10	The short-circuit current rating of the electrical equipment shall be determined by the application of design rules or by calculation or by test.		P
<b>8</b>	<b>EQUIPOTENTIAL BONDING</b>		P
<b>8.2</b>	<b>Protective bonding circuit</b>		P
8.2.1	All parts of the protective bonding circuit shall be so designed that they are capable of withstanding the highest thermal and mechanical stresses		P
	Protective conductors which does not form part of a cable shall not be less than:		—
	- 2.5 mm <sup>2</sup> Cu or 16 mm <sup>2</sup> Al if protection against mechanical damage is provided		P
	- 4 mm <sup>2</sup> Cu or 16 mm <sup>2</sup> Al if protection against mechanical damage is not provided		P

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Clause	Requirement - Test	Result - Remark	Verdict
	Exposed conductive parts of equipment in accordance with 6.3.2.3 (Protection by electrical separation) shall not be connected to the protective bonding circuit.		P
	Small parts and other conductive parts that do not constitute a hazard need not to be earthed		P
8.2.2	Protective conductors		P
	Protective conductors shall be identified in accordance with 13.2.2.		P
	Copper conductors are preferred.		P
	Where other material is used, its electrical resistance per unit length shall not exceed that of the allowable copper conductor and such conductors shall be not less than 16 mm <sup>2</sup> in cross-sectional area.		P
	<p>Metal enclosures or frames or mounting plates may be used as protective conductors if they satisfy the following three requirements:</p> <ul style="list-style-type: none"> <li>- protection against mechanical, chemical or electrochemical deterioration</li> <li>- compliant with 543.1 of IEC 60364-5-54:</li> <li>- permit the connection of other protective conductors where foreseen</li> </ul>		P
	The cross-section of protective conductors shall be calculated according to 543.1.2 of IEC 60364-5-54, or selected in accordance with Table 1.		P
	<p>Each protective conductor shall:</p> <ul style="list-style-type: none"> <li>- be part of a multicore cable, or;</li> <li>- be in a common enclosure with the line conductor, or;</li> <li>- have a cross-sectional area of at least; <ul style="list-style-type: none"> <li>• 2.5 mm<sup>2</sup> Cu or 16 mm<sup>2</sup> Al with protection against mechanical damage</li> <li>• 4 mm<sup>2</sup> Cu or 16 mm<sup>2</sup> Al without protection against mechanical damage</li> </ul> </li> </ul>		P



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Clause	Requirement - Test	Result - Remark	Verdict
	A protective conductor not forming part of a cable is considered to be mechanically protected if it is installed in a conduit, trunking or protected in a similar way.		P
	The following parts shall be connected to the protective bonding circuit but shall not be used as protective conductors: <ul style="list-style-type: none"> <li>- conductive structural parts of the machine;</li> <li>- metal ducts of flexible or rigid construction;</li> <li>- metallic cable sheaths or armouring;</li> <li>- metallic pipes containing flammable materials such as gases, liquids, powder.</li> <li>- flexible or pliable metal conduits;</li> <li>- constructional parts subject to mechanical stress in normal service;</li> <li>- • flexible metal parts; support wires; cable trays and cable ladders.</li> </ul>		P
8.2.3	Continuity of the protective bonding circuit		P
	Where a part is removed the protective bonding circuit for the remaining parts isn't interrupted.		P
	Current-carrying capacity of connection and bonding points not impaired by mechanical, chemical, or electrochemical influences (e.g. electrolytic corrosion on aluminium parts)		P
	Where the electrical equipment is mounted on lids, doors, or cover plates, continuity of the protective bonding circuit shall be ensured. The use of a protective conductor (see 8.2.2) is recommended.		P
	For cables that are exposed to damage (for example flexible trailing cables) the continuity of the protective conductors are ensured by appropriate measures (for example monitoring).		P
	Where the continuity can be interrupted, a first make last break contact is required.		P

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Clause	Requirement - Test	Result - Remark	Verdict
8.2.4	Protective conductor connecting points are not intended to attach appliances or parts.		P
	Each connecting point shall be marked or labelled as such using the symbol IEC 60417-5019 or the letters PE or by use of bicolour GREEN / YELLOW		P
8.2.5	Mobile machines with on-board power supplies: The protective bonding system is connected to a single protective bonding terminal. This protective bonding terminal is the connection point for a possible additional external incoming power supply		P
8.2.6	<b>Additional requirements for electrical equipment having earth leakage currents higher than 10 mA</b>		P
	Where electrical equipment has an earth leakage current greater than 10 mAAC or DC the associated protective bonding circuit shall satisfy one of the following:		—
	a) the protective conductor is completely enclosed or otherwise protected		P
	b) the protective conductor has a cross-sectional area of at least 10 mm <sup>2</sup> Cu or 16 mm <sup>2</sup> Al		P
	c) a second protective conductor of at least the same cross-sectional area is provided		P
	d) the supply is automatically disconnected in case of loss of continuity of the protective conductor		P
	e) where a plug-socket combination is used, an industrial connector in accordance with IEC 60309 series is provided		P
	A statement shall be given in the instructions for installation that the equipment shall be installed as described in this 8.2.6.		P
8.3	Measures to restrict the effects of high leakage current can be taken as described		P

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Clause	Requirement - Test	Result - Remark	Verdict
8.4	If functional bonding is used, the connecting points should be marked with symbol IEC 60417-5020 		P
<b>9</b>	<b>CONTROL CIRCUITS AND CONTROL FUNCTIONS</b>		P
<b>9.1.</b>	<b>Control circuit</b>		P
9.1.1	Where control circuits are supplied from an AC source, transformers having separate windings shall be used to separate the power supply from the control supply.		P
	Examples include: control transformers acc. to IEC 61558-2-2, SMPS acc. to IEC 61558-2-16 power supplies acc. to IEC 61204-7		P
	Where several transformers are used, it is recommended that the secondary voltages are in phase.		P
	Exception: Transformers or switch mode power supply units fitted with transformers are not mandatory for machines with a single motor starter and/or a maximum of two control devices		P
	Where DC control circuits derived from an AC supply are connected to the protective bonding, they shall be supplied from a separate winding		P
9.1.2	The nominal voltage of control circuits should preferably not exceed <ul style="list-style-type: none"> <li>- 230 V @ 50 Hz</li> <li>- 277 V @ 60 Hz</li> <li>- 220 V @ DC</li> </ul>		P
9.1.3	Control circuits are provided with overcurrent protection in accordance with 7.2.4 and 7.2.10.		P
<b>9.2.</b>	<b>Control functions</b>		P
9.2.2	Categories of stop functions are stop category 0, 1, 2		P
9.2.3	Operation		P

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Clause	Requirement - Test	Result - Remark	Verdict
9.2.3.1	Where a machine has more than one control station, measures shall be provided to ensure that initiation of commands from different control stations do not lead to a hazardous situation.		P
9.2.3.2	Start functions shall operate by energizing the relevant circuit.		P
	Start of an operation shall be possible only when all of the relevant safety functions and/or protective measures are in place and are operational.		P
	Where safety functions and/or protective measures cannot be applied for certain operations, manual control of such operations are by hold-to-run controls, together with enabling devices, as appropriate.		P
	In the case of machines requiring the use of more than one control station to initiate a start, each of these control stations shall have a separate manually actuated start control device. The conditions to initiate a start are: <ul style="list-style-type: none"> <li>- all required conditions for machine operation shall be met and</li> <li>- all start control devices shall be in the released (off) position, then</li> <li>- all start control devices have to be actuated concurrently (see 3.1.7).</li> </ul>		P
9.2.3.3	Stop category 0 and/or stop category 1 and/or stop category 2 stop functions are provided as indicated by the risk assessment and the functional requirements of the machine (see 4.1).		P
	Stop functions shall override related start functions		P
	Where more than one control station is provided, stop commands from any control station is effective when required by the risk assessment of the machine.		P
9.2.3.4	Emergency operations (emergency stop, emergency switching off)		P
9.2.3.4.1	Emergency stop or emergency switching off commands shall be sustained until it is reset.		P

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Clause	Requirement - Test	Result - Remark	Verdict
	This reset shall be possible only by a manual action at that location where the command has been initiated.		P
	The reset of the command shall not restart the machinery but only permit restarting.		P
	It shall not be possible to restart the machinery until all emergency stop commands are reset.		P
	It shall not be possible to reenergize the machinery until all emergency switching off commands are reset.		P
9.2.3.4.2	The emergency stop does function either as a stop category 0 or as a stop category 1.		P
	<ul style="list-style-type: none"> <li>- it shall override all other functions and operations in all modes</li> <li>- it shall stop the hazardous motion as quickly as practicable without creating other hazards</li> <li>- a reset shall not initiate a restart</li> </ul>		P
9.2.3.4.3	Emergency switching off should be provided where: <ul style="list-style-type: none"> <li>- Protection against direct contact is achieved only by placing out of reach or by obstacles (see 6.2.6) or</li> <li>- there is the possibility of other hazards or damage caused by electricity</li> </ul>		P
	Emergency switching off is accomplished by electromechanical switching devices, effecting a stop category 0 of machine actuators connected to this incoming supply		P
9.2.3.5	Operating modes		P
	Where machinery uses several control or operating modes requiring different protective measures and having a different impact on safety, it shall be fitted with a mode selector which can be locked in each position		P
	Another selection method can be used (for example an access code)		P

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Clause	Requirement - Test	Result - Remark	Verdict
	Mode selection by itself does not initiate machine operation. A separate actuation of the start control has to be stated by the operator.		P
	Indication of the selected operating mode shall be provided (e.g. the position of a mode selector, the provision of an indicating light, a visual display indication)		P
9.2.3.6	Movement or action that can result in a hazardous situation shall be monitored by providing, for example, overtravel limiters, motor overspeed detection, mechanical overload detection or anti-collision devices		P
9.2.3.7	Hold-to-run controls shall require continuous actuation of the control device(s) to achieve operation		P
9.2.3.8	Two-hand controls shall be one of the following types and have the following features		P
	Type I: this type requires: <ul style="list-style-type: none"> <li>- the provision of two control devices and their concurrent actuation by both hands;</li> <li>- continuous concurrent actuation during the hazardous situation;</li> <li>- machine operation shall cease upon the release</li> </ul>		P
	Type II: a Type I control requiring the release of both control devices before machine operation can be reinitiated		P
	Type III: a Type II control requiring concurrent actuation of the control devices as follows: <ul style="list-style-type: none"> <li>- it shall be necessary to actuate the control devices within a certain time limit of each other, not exceeding 0.5 s</li> <li>- where this time limit is exceeded, both control devices shall be released before machine operation can be initiated</li> </ul>		P

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Clause	Requirement - Test	Result - Remark	Verdict
9.2.3.9	Enabling control shall be so arranged as to minimize the possibility of defeating, for example by requiring the de-activation of the enabling control device before machine operation may be reinitiated		P
9.2.3.10	Combined start and stop controls: Push-buttons etc. that alternately initiate and stop motion shall only be provided for functions, which cannot result in a hazardous situation.		P
9.2.4	Cableless control system		P
9.2.4.1	The CCS shall have functionality and a response time suitable for the application based on the risk assessment.		P
9.2.4.2	The ability of a CCS to control a machine shall be automatically monitored, either continuously or at suitable intervals.		P
	If the communication signal has degraded (e.g., reduced signal level, low battery power) a warning shall be given		P
	When the ability to control a machine has been lost, an automatic stop of the machine shall be initiated.		P
	Its restoration shall not restart the machine.		P
9.2.4.3	Measures shall be taken to prevent the machine from responding to signals other than those from the intended operator control station(s).		P
	Cableless operator control station(s) shall only control the intended machine(s) and shall affect only the intended machine functions.		P
9.2.4.4	When more than one cableless operator control station is used, then:		—
	- only one control station shall be enabled at a time except as necessary for the operation		P
	- transfer of control shall require a deliberate manual action at the station having control		P

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Clause	Requirement - Test	Result - Remark	Verdict
	- transfer shall only be possible if both stations are in the same mode		P
	- a transfer shall not change the mode of operation or function		P
	- on the station that has control, a visual indication shall indicate this		P
9.2.4.5	Portable cableless operator control stations shall be provided with means to prevent unauthorized use		P
	Each machine should have an indication when it is under cableless control		P
	When possible to be connected to several machines, means shall be provided on the portable device to select		P
	Selecting a machine shall not initiate control commands.		P
9.2.4.6	A deliberate disabling shall meet the requirements of 9.2.4.2.		P
	Where disabling without interrupting machine operation is necessary, appropriate means shall be provided to transfer control		P
9.2.4.7	Emergency stop devices on portable cableless operator control stations shall not be the sole means of initiating an emergency stop		P
	Confusion between active and inactive emergency stop devices shall be avoided		P
9.2.4.8	Restarting of a cableless control shall not result in a reset of an emergency stop condition		P
	The instructions shall state that a reset shall only be performed when it can be seen that the reason has been cleared		P
<b>9.3</b>	<b>Protective interlocks</b>		P
9.3.1	The reclosing or resetting of an interlocking safeguard does not initiate hazardous machine operation		P



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Clause	Requirement - Test	Result - Remark	Verdict
9.3.2	Where an operating limit (for example speed, pressure, position) can be exceeded leading to a hazardous situation, means shall be provided to detect when a predetermined limit(s) is exceeded and initiate an appropriate control action		P
9.3.3	The correct operation of auxiliary functions shall be checked by appropriate devices		P
	Where the non-operation of a device can cause a hazard, appropriate interlocking shall be provided		P
9.3.4	Interlocks between different operations and for contrary motions shall be provided, if these operations can lead to hazardous situations		P
9.3.5	Where braking of a motor is accomplished by current reversal, measures shall prevent the motor starting in the opposite direction at the end of braking where that reversal can cause a hazardous situation or damage to the machine or to the work in progress		P
	For this purpose, a device operating exclusively as a function of time is not permitted		P
	Control circuits shall be so arranged that rotation of a motor shaft, for example manually, does not result in a hazardous situation		P
9.3.6	Where it is necessary to suspend safety functions and/or protective measures, the control or operating mode selector shall simultaneously:		P
	- disable all other operating (control) modes		P
	- permit operation only by the use of a hold-to-run device or by a similar control device positioned so as to permit sight of the hazardous elements		P
	- prevent any operation of hazardous functions by voluntary or involuntary action on the machine's sensors		P

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Clause	Requirement - Test	Result - Remark	Verdict
	If these four conditions cannot be fulfilled, the mode selector shall activate other protective measures to ensure a safe intervention zone. In addition, the operator shall be able to control operation of the parts he is working on from the adjustment point.		P
9.4	Control functions in the event of failure		P
9.4.1	The electrical control system(s) shall have an appropriate performance that has been determined from the risk assessment of the machine		P
	The requirements for safety-related control functions of IEC 62061 and/or ISO 13849-1, ISO 13849-2 shall apply		P
	Where memory retention is achieved for example, by battery power, measures shall be taken to prevent hazardous situations arising from failure, undervoltage or removal of the battery		P
	Means shall be provided to prevent unauthorized or inadvertent memory alteration by, for example, requiring the use of a key, access code or tool		P
9.4.2	Measures to minimize risk in the event of failure		P
9.4.2.2	Use of proven circuit techniques and components (see examples)		P
9.4.2.3	Provisions of partial or complete redundancy		P
9.4.2.4	Provision of diversity (see examples)		P
9.4.2.5	Provision for functional tests		P
9.4.3	Protection against malfunction of control circuits		P
9.4.3.1.1	Measures shall be provided to reduce the probability that insulation faults on any control circuit can cause malfunction		P
9.4.3.1.2	Method a) – Earthed control circuits fed by transformers		P
	The common conductor shall be connected to the protective bonding circuit at the point of supply.		P

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Clause	Requirement - Test	Result - Remark	Verdict
	All control elements are to be inserted on the other side of the components		P
9.4.3.1.3	Method b) – Non-earthed control circuits fed by transformers shall either		P
	1) have 2-pole control switches that operate on both conductors; or		P
	2) be provided with a device that interrupts the circuit automatically in the event of an earth fault; or		P
	3) where 2) above would increase the risk, it can be sufficient to provide an insulation monitoring device that will initiate an acoustic and optical signal		P
9.4.3.1.4	Method c) – Control circuits fed by transformer with an earthed centre-tap winding shall have overcurrent protective devices that break both the conductors		P
	The control switches shall be 2-pole types that operate on both conductors		P
9.4.3.1.5	Method d) – Control circuits not fed by a transformer are only allowed for machines with a maximum of one motor starter and/or maximum of two control devices, in accordance with 9.1.1		P
	Possible cases are:		—
	1) directly connected to an earthed supply system (TN- or TT-system)		P
	If powered between two lines, multi-pole control switches are required		P
	2) directly connected to a supply system that is not earthed or is earthed through a high impedance (IT-system)		P
	A device shall be provided that interrupts the circuit automatically in the event of an earth fault		P
9.4.3.2	Where the loss of memory due to a power failure can result in a hazardous situation, appropriate measures shall be taken		P

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Clause	Requirement - Test	Result - Remark	Verdict
9.4.3.3	Where the loss of continuity of control circuits depending upon sliding contacts can result in a hazard, appropriate measures shall be taken		P
<b>10</b>	<b>OPERATOR INTERFACE AND MACHINE-MOUNTED CONTROL DEVICES</b>		P
10.1.1	Control devices for operator interface shall, as far as is practicable, be selected, mounted, and identified or coded in accordance with IEC 61310 series		P
10.1.2	As far as is practicable, machine-mounted control devices shall be:		—
	- readily accessible for service and maintenance		P
	- mounted in such a manner as to minimize the possibility of damage from activities such as material handling		P
	The actuators of hand-operated control devices are selected and installed so that:		—
	- they are not less than 0,6 m above the servicing level and are within easy reach of the normal working position of the operator		P
	- the operator is not placed in a hazardous situation when operating them		P
	The actuators of foot-operated control devices are selected and installed so that:		—
	- they are within easy reach of the normal working position of the operator		P
	- the operator is not placed in a hazardous situation when operating them		P
10.1.3	The degree of protection (IP rating in accordance with IEC 60529) together with other appropriate measures shall provide protection against:		P
	- the effects of liquids, vapours, or gases found in the physical environment or used on the machine		P
	- the ingress of contaminants (for example swarf, dust, particulate matter)		P

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Clause	Requirement - Test	Result - Remark	Verdict
	The operator interface control devices shall have a minimum degree of protection against contact with live parts of IPXXD (see IEC 60529)		P
10.1.4	Position sensors (for example position switches, proximity switches) are so arranged that they will not be damaged in the event of overtravel		P
	Position sensors in circuits with safety-related control functions shall have direct opening action (see IEC 60947-5-1) or shall provide similar reliability (see 9.4.2)		P
10.1.5	Portable and pendant operator control stations and their control devices are so selected and arranged as to minimize the possibility of machine operations caused by inadvertent actuation, shocks and vibrations		P
<b>10.2</b>	<b>Actuators</b>		P
10.2.1	Actuators shall be colour-coded as follows:		P
	The colours for START/ON actuators should be WHITE, GREY, BLACK or GREEN with a preference for WHITE. RED shall not be used		P
	The colour RED shall be used for emergency stop and emergency switching off actuators		P
	If a background exists, it shall be coloured YELLOW		P
	The colours for STOP/OFF actuators should be BLACK, GREY, or WHITE with a preference for BLACK. GREEN shall not be used. RED is permitted		P
	WHITE, GREY, or BLACK are the preferred colours for actuators that alternately act as START/ON and STOP/OFF actuators. The colours RED, YELLOW, or GREEN shall not be used		P
	The same is applicable for “hold-to-run” actuators		P

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Clause	Requirement - Test	Result - Remark	Verdict
	Reset actuators shall be BLUE, WHITE, GREY, or BLACK. Where they also act as a STOP/OFF actuator, the colours WHITE, GREY, or BLACK are preferred with the main preference being for BLACK. GREEN shall not be used.		P
	The colour YELLOW is reserved for use in abnormal conditions		P
	Where the same colours are used for various functions, a supplementary means of coding shall be used for the identification		P
10.2.2	Recommended markings for actuators are given in table 2 and 3		P
<b>10.3</b>	<b>Indicator lights and displays</b>		P
10.3.1	Indicator lights and displays shall be selected and installed in such a manner as to be visible from the normal position of the operator (see also IEC 61310-1).		P
	Circuits used for visual or audible devices used to warn persons of an impending hazardous event shall be fitted with facilities to check the operability of these devices		P
10.3.2	Indicator lights should be colour-coded with respect to the condition (status) of the machine in accordance with Table 4.		P
	Indicating towers on machines have the applicable colours in the following order from the top down; RED, YELLOW, BLUE, GREEN and WHITE.		P
10.3.3	For further distinction or information and especially to give additional emphasis, flashing lights and displays can be provided		P
	Where flashing lights or displays are used to provide higher priority information, additional acoustic warnings should be considered		P

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Clause	Requirement - Test	Result - Remark	Verdict
10.4	illuminated push-button actuators shall be colour-coded in accordance with Tables 2 and 4. Where there is difficulty in assigning an appropriate colour, WHITE is used.		P
	The colour RED for the emergency stop actuator shall not depend on the illumination of its light.		P
10.5	Devices having a <b>rotational member</b> , such as potentiometers and selector switches, shall have means of prevention of rotation of the stationary member. Friction alone isn't considered sufficient.		P
10.6	Actuators used to initiate a start function or the movement of machine elements shall be constructed and mounted so as to minimize inadvertent operation		P
<b>10.7</b>	<b>Emergency stop devices</b>		P
10.7.1	Devices for emergency stop are readily accessible		P
	Emergency stop devices shall be provided at each location where the initiation of an emergency stop can be required		P
	In circumstances where confusion can occur between active and inactive emergency stop devices caused by disabling the operator control station, means (for example, information for use) are provided to minimise confusion.		P
10.7.2	The types of device for emergency stop include, but are not limited to: <ul style="list-style-type: none"> <li>– a push-button device for actuation by the palm or the fist (e.g. mushroom)</li> <li>– a pull-cord operated switch</li> <li>– a pedal-operated switch without mechanical guard</li> </ul>		P
	The devices shall be in accordance with IEC 60947-5-5.		P

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Clause	Requirement - Test	Result - Remark	Verdict
10.7.3	Where a stop category 0 is suitable, the supply disconnecting device may serve the function of emergency stop where: – it is readily accessible to the operator; and – it is of the type described in 5.3.2 a), b), c), or d)		P
	Where intended for emergency use, the supply disconnecting device shall meet the colour requirements of 10.2.1		P
<b>10.8</b>	<b>Emergency switching off devices</b>		P
10.8.1	Such devices shall be located as necessary for the given application.		P
	Means are provided, where necessary, to avoid confusion between these devices.		P
10.8.2	The types of device for emergency switching off include: – a push-button operated switch with a palm or mushroom head type of actuator – a pull-cord operated switch		P
	The devices shall have direct opening action		P
10.8.3	Where the supply disconnecting device is to be locally operated for emergency switching off, it shall be readily accessible and shall meet the colour requirements of 10.2.1		P
<b>10.9</b>	<b>Enabling control device</b>		P
	Enabling control devices shall be selected and arranged so as to minimize the possibility of defeating		P
	They shall be designed in accordance with ergonomic principles		P
	Functions of two-position types: - position 1: off-function of the switch (actuator is not operated); - position 2: enabling function (actuator is operated)		P



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Clause	Requirement - Test	Result - Remark	Verdict
	Functions of three-position types: <ul style="list-style-type: none"> <li>- position 1: off-function of the switch (actuator is not operated)</li> <li>- position 2: enabling function (actuator is operated in its mid position)</li> <li>- position 3: off-function (actuator is operated past its mid position)</li> <li>- when returning from position 3 to position 2, the enabling function is not activated</li> </ul>		P
<b>11</b>	<b>CONTROLGEAR: LOCATION, MOUNTING AND ENCLOSURES</b>		P
11.2.1	All items of controlgear (inclusively terminals that are not part of controlgear components or devices) are placed and oriented so that they can be identified without moving them or the wiring		P
	For items that require checking for correct operation or that are liable to need replacement, those actions should be possible without dismantling other equipment or parts of the machine (except opening doors or removing covers, barriers or obstacles)		P
	All controlgear are mounted so as to facilitate its operation and maintenance		P
	Necessary tools to adjust, maintain, or remove a device are supplied		P
	Where access is required for regular maintenance or adjustment, the relevant devices shall be located between 0.4 m and 2.0 m above the servicing level		P
	Recommendation, that terminals be least 0.2 m above the servicing level and so placed that conductors and cables can be easily connected		P
	Only operating, indicating, measuring, and cooling devices are mounted on doors or on normally removable access covers of enclosures		P

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Clause	Requirement - Test	Result - Remark	Verdict
	Where connected through plug-in arrangements, their association shall be made clear by type (shape), marking or reference designation		P
	Plug-in devices that are handled during normal operation shall be provided with non-interchangeable features		P
	Plug/socket combinations that are handled during normal operation are unobstructedly accessible.		P
	Test points for connection of test equipment shall be: <ul style="list-style-type: none"> <li>– mounted to provide unobstructed access</li> <li>– clearly identified to correspond with the documentation</li> <li>– adequately insulated</li> <li>– sufficiently spaced</li> </ul>		P
11.2.2	Physical separation or grouping		P
	Non-electrical parts and devices, not directly associated with the electrical equipment, shall not be located within enclosures containing controlgear		P
	Devices such as solenoid valves should be separated from the other electrical equipment (for example in a separate compartment)		P
	Control devices mounted in the same location and connected to the supply voltage, or to both supply and control voltages, should be grouped separately from those connected only to the control voltages		P
	Terminals shall be separated into groups for: <ul style="list-style-type: none"> <li>– power circuits</li> <li>– associated control circuits</li> <li>– other control circuits, fed from external sources (for example for interlocking)</li> </ul>		P
	The clearances and creepage distances specified by the supplier shall be maintained, taking into account the external influences or conditions of the physical environment.		P

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Clause	Requirement - Test	Result - Remark	Verdict
11.2.3	The temperature rise inside electrical equipment enclosures shall not exceed the ambient temperature specified by the component manufacturers		P
	Heat generating components (for example heat sinks, power resistors) are located so, that the temperature of each component in the vicinity remains within the permitted limit		P
<b>11.3</b>	<b>Degrees of protection</b>		P
	The protection of controlgear against ingress of solid foreign objects and of liquids shall be adequate taking into account the external influences under which the machine is intended to operate and shall be sufficient against dust, coolants, lubricants and swarf		P
	Enclosures of controlgear provide a degree of protection of at least IP22 (see IEC 60529)		P
	Exception, where: a) an electrical operating area provides an appropriate degree of protection b) removable collectors on conductor wire or conductor bar systems are used and the measures of 12.7.1 are applied		P
<b>11.4</b>	<b>Enclosures, doors and openings</b>		P
	Enclosures shall be constructed using materials capable of withstanding the mechanical, electrical and thermal stresses as well as the effects of humidity and other environmental factors that are likely to be encountered in normal service		P
	Fasteners used to secure doors and covers should be of the captive type		P
	Windows of enclosures shall be of a material suitable to withstand expected mechanical stress and chemical attack		P

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Clause	Requirement - Test	Result - Remark	Verdict
	It is recommended that enclosure doors having vertical hinges be not wider than 0,9 m, with an angle of opening of at least 95°		P
	Joints or gaskets of doors, lids, etc. shall withstand the chemical effects of the aggressive liquids, vapours, or gases used on the machine.		P
	They shall: <ul style="list-style-type: none"> <li>- be securely attached</li> <li>- not deteriorate due to removal or replacement of the door</li> </ul>		P
	Openings in enclosures (for example, for cable access), including those towards the floor or foundation or to other parts of the machine shall be equipped with means to ensure the degree of protection specified for the equipment.		P
	A suitable opening may be provided in the base of enclosures within the machine so that moisture due to condensation can drain away		P
	Openings for cable entries shall be easily re-opened on site		P
	There shall be no opening between enclosures containing electrical equipment and compartments containing coolant, lubricating or hydraulic fluids, or those into which oil, other liquids, or dust can penetrate.		P
	Holes in an enclosure for mounting shall not impair the required protection.		P

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Clause	Requirement - Test	Result - Remark	Verdict
	Equipment that, in normal or abnormal operation, can attain a surface temperature sufficient to cause a risk of fire or harmful effect to an enclosure material shall: <ul style="list-style-type: none"> <li>– be located within an enclosure that will withstand, such temperatures; and</li> <li>– be located at a sufficient distance from adjacent equipment allowing safe dissipation of heat (see also 11.2.3); or</li> <li>– be otherwise screened by material that can withstand to the harmful effect.</li> </ul>		P
<b>11.5</b>	<b>Access to electrical equipment</b>		P
	Doors in gangways for access to electrical operating areas shall: <ul style="list-style-type: none"> <li>- be at least 0.7 m wide and 2.0 m high</li> <li>- open outwards</li> <li>- have a means (for example panic bolts) to allow opening from the inside without the use of a key or tool</li> </ul>		P
<b>12</b>	<b>CONDUCTORS AND CABLES</b>		P
12.1	Conductors and cables shall be selected so as to be suitable for the operating conditions and external Influences that can exist		P
	These requirements do not apply to the integral wiring of assemblies, subassemblies, and devices that are manufactured and tested in accordance with their relevant IEC standard (for example IEC 61800 series).		—
12.2	Conductors should be of copper. Where aluminium conductors are used, the cross-sectional area shall be at least 16 mm <sup>2</sup> .		P
	The cross-sectional area of conductors should not be less than as shown in Table 5		P
	Smaller cross-sectional areas or other constructions than shown in Table 5 may be used, provided adequate mechanical strength is achieved by other means		P

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Clause	Requirement - Test	Result - Remark	Verdict
	Class 1 and class 2 conductors are primarily intended for use between rigid, non-moving parts where vibration is not likely to cause damage		P
	All conductors that are subject to frequent movement should have flexible stranding of class 5 or class 6.		P
12.3	Where the insulation of conductors and cables can constitute hazards due for example to the propagation of a fire or the emission of toxic or corrosive fumes adequate means are provided.  Special attention is given to the integrity of a circuit having a safety-related function		P
	The insulation of cables and conductors used, shall be suitable for a test voltage:		—
	- not less than 2 000 V AC for a duration of 5 min for operation at voltages higher than 50 V AC or 120 V DC, or		P
	- not less than 500 V AC for a duration of 5 min for PELV circuits (see IEC 60364-4-41, class III equipment).		P
	The insulation shall be such that it cannot be damaged in operation or during laying, especially for cables pulled into ducts.		P
12.4	Current-carrying capacity in normal service in accordance with table 6.  Or in accordance with suppliers recommendation.		P
12.5	The voltage drop from the point of supply to the load in any power circuit cable shall not exceed 5 % of the nominal voltage under normal operating conditions.		P
	In control circuits, the voltage drop shall not reduce the voltage at any device below the manufacturer's specification for that device, taking into account inrush currents.		P
<b>12.6</b>	<b>Flexible cables</b>		P

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Clause	Requirement - Test	Result - Remark	Verdict
12.6.1	Flexible cables shall have Class 5 or Class 6 conductors		P
	Cables that are subjected to severe duties shall be of adequate construction to protect against: <ul style="list-style-type: none"> <li>- abrasion due to mechanical handling and dragging across rough surfaces</li> <li>- kinking due to operation without guides</li> <li>- stress resulting from guide rollers and forced guiding, being wound and re-wound on cable drums</li> </ul>		P
12.6.2	The tensile stress applied to copper conductors shall not exceed 15 N/mm <sup>2</sup> of cross-sectional area  Or special measures are taken to withstand the applied stress		P
	For material other than copper the applied stress shall be within the cable manufacturer's specification		P
12.6.3	For cables of circular cross-sectional area installed on drums, the maximum current should be derated in accordance with Table 7		P
<b>12.7</b>	<b>Conductor wires, conductor bars and slip-ring assemblies</b>		P
12.7.1	During normal access to the machine, <b>protection</b> to conductor wires, conductor bars and slip-ring assemblies shall be achieved by the application of one of the following protective measures:		P
	- protection by partial insulation of live parts, or where this is not practicable		P
	- protection by enclosures or barriers of at least IP2X or IPXXB		P
	Horizontal top surfaces of barriers or enclosures that are readily accessible shall provide a degree of protection of at least IP4X or IPXXD		P

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Clause	Requirement - Test	Result - Remark	Verdict
	Where the required degree of protection is not achieved, protection by placing live parts out of reach in combination with emergency switching off in accordance with 9.2.5.4.3 shall be applied		P
	Conductor wires and conductor bars shall be so placed and/or protected as to:		—
	- prevent contact, especially for unprotected conductor wires and conductor bars, with conductive items such as the cords of pull-cord switches, strain-relief devices and drive chains		P
	- prevent damage from a swinging load		P
12.7.2	Protective conductor circuit (PE) and the neutral conductor (N) each use a separate conductor wire, conductor bar or slip-ring		P
	The continuity of the protective conductor circuit using sliding contacts shall be ensured by taking appropriate measures (for example, duplication of the current collector, continuity monitoring)		P
12.7.3	Protective conductor current collectors shall have a shape or construction so that they are not interchangeable with the other current collectors. Such current collectors shall be of the sliding contact type		P
12.7.4	Removable current collectors with disconnecter function: The protective conductor circuit interrupts after and reconnects before any live conductor		P
12.7.5	Clearances in air between conductors and adjacent systems shall be suitable for at least a rated impulse voltage of an overvoltage category III in accordance with IEC 60664-1		P
12.7.6	Creepage distances between conductors and adjacent systems shall be suitable suitable for operation in the intended environment, e.g. open air, inside buildings, protected by enclosures		P



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Clause	Requirement - Test	Result - Remark	Verdict
	In abnormally dusty, moist or corrosive environments, the following creepage distance requirements apply:		P
	- unprotected conductor wires, conductor bars, and slip-ring assemblies: 60 mm		P
	- enclosed conductor wires, insulated multipole conductor bars and insulated individual conductor bars: 30 mm		P
12.7.7	Conductor system divided into isolated sections: suitable design measures shall be employed to prevent the energization of adjacent sections by the current collectors themselves		P
12.7.8	Conductor wires, conductor bars and slip-ring assemblies in power circuits shall be grouped separately from those in control circuits		P
	They shall be capable of withstanding, without damage, the mechanical forces and thermal effects of short-circuit currents		P
	Removable covers cannot be opened by one person without the aid of a tool		P
	Where common metal enclosures are used, the individual sections shall be bonded together and connected to the protective bonding circuit		P
	Conductor bar ducts that can be subject to accumulation of liquid shall have drainage facilities		P
<b>13</b>	<b>WIRING PRACTICES</b>		P
13.1	Connections and routing		P
13.1.1	All connections shall be secured against accidental loosening		P
	The means of connection shall be suitable for the cross-sectional areas and nature of the conductors being terminated		P
	No connection of two or more conductors to one terminal, unless the terminal is designed for it		P

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Clause	Requirement - Test	Result - Remark	Verdict
	No soldered connections to terminals unless they are suitable for it		P
	Terminals on terminal blocks are plainly marked or labelled corresponding with the diagrams		P
	Installations of flexible conduits and cables are such that liquids drain away from the fittings		P
	Retaining means for conductor strand and shields provided (no soldering for that purpose)		P
	Identification tags shall be legible, permanent, and appropriate for the physical environment		P
	Terminal blocks mounted and wired so that the wiring does not cross over the terminals		P
13.1.2	Conductors and cables shall be run from terminal to terminal without splices or joints		P
	Connections using plug/socket combinations with suitable protection against accidental disconnection are not considered to be splices or joints for the purpose of this subclause		P
	Exceptions are possible as described		P
	Terminations of cables shall be adequately supported to prevent mechanical stresses at the terminations of the conductors		P
	Protective conductor shall be placed close to the associated live conductors in order to decrease the impedance of the loop		P
13.1.3	Conductors for circuits that operate at different voltages are separated by suitable barriers, or are insulated for the highest voltage that occurs within the same duct		P
13.1.4	Conductors of AC circuits installed in ferromagnetic enclosures shall be arranged so that all conductors of each circuit, including the protective conductor of each circuit, are contained in the same enclosure		P

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Clause	Requirement - Test	Result - Remark	Verdict
	Single-core cables armoured with steel wire or steel tape should not be used for AC circuits		P
13.1	The cable between the pick-up and the pick-up converter of an inductive power supply system shall be:		P
	- as short as practicable		P
	- adequately protected against mechanical damage		P
13.2.1	Each conductor shall be identifiable at each termination in accordance with the technical documentation		P
13.2.2	When identification of the protective conductor is by colour alone, the bicolour combination GREEN-AND-YELLOW shall be used throughout the length of the conductor		P
	Where the protective conductor can be easily identified colour coding throughout its length is not necessary, but the ends or accessible locations are clearly identified by the graphical symbol or by the bicolour combination GREEN-AND-YELLOW		P
	Exception: Protective bonding conductors may be marked with the letters PB and/or the symbol IEC 60417-5021		P
13.2.3	Where a neutral conductor is identified by colour alone, the colour shall be BLUE (preferably light blue)		P
	In this case that colour shall not be used for identifying any other conductor where confusion is possible		P
	Bare conductors used as neutral conductors shall have at minimum a stripe in LIGHT BLUE 15 mm to 100 mm wide in each compartment or unit and at each accessible location		P
13.2.4	Where colour-coding is used, BLACK, BROWN, RED, ORANGE, YELLOW, GREEN, BLUE (including LIGHT BLUE), VIOLET, GREY, WHITE, PINK, TURQUOISE may be used		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	GREEN and YELLOW should not be used where there is a possibility of confusion with the bicolour combination GREEN-AND-YELLOW		P
<b>13.3</b>	<b>Wiring inside enclosures</b>		P
	Conductors inside enclosures shall be supported where necessary		P
	Non-metallic supports shall be made with a flame-retardant insulating material (see IEC 60332 series)		P
	Connections to devices mounted on doors or to other movable parts shall be made using flexible conductors in accordance with 12.2 and 12.6.		P
	Conductors and cables that do not run in ducts shall be adequately supported		P
<b>13.4</b>	<b>Wiring outside enclosures</b>		P
13.4.1	Conductors of a circuit shall not be distributed over different multi-core cables, conduits, etc.		P
13.4.2	Conductors and their connections external to the electrical equipment shall be <b>placed in suitable ducts</b> (see cl.13.5)  Exceptions: - Cables with special suitable protection. - Position switches or proximity switches supplied with a dedicated cable which is sufficiently short		P
13.4.3	Connections to moving parts shall take into account the foreseeable frequency of movement and shall be made using conductors in accordance with 12.2 and 12.6		P
	The bending radius of the cable shall be at least 10 times the diameter of the cable		P
	Flexible cables of machines shall be so installed or protected as to minimize the possibility of external damage (run over, forces, rubbing, heat, etc.)		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	Cables close to moving parts, shall maintain a space of at least 25 mm between the moving parts and the cables or barriers are provided		P
	Cable handling systems: Lateral cable angles not exceeding 5°, at being wound on and off cable drums or approaching and leaving cable guidance devices. The bending radius shall be in accordance with Table 8		P
			P
	Flexible conduit shall not be used for connections subject to rapid or frequent movements except when specifically designed for that purpose		P
13.4.4	Where several machine-mounted devices are connected in series or in parallel, it is recommended that the connections between those devices be made through terminals forming intermediate test points		P
<b>13.4.5</b>	<b>Plug/socket combinations</b>		P
	Components or devices inside an enclosure, terminated by fixed plug/socket combinations (no flexible cable), or components connected to a bus system by a plug/socket combination, are excluded		P
	Where the plug/socket contains a contact for the protective bonding circuit, it shall have a first make last break contact (see also 8.2.4).		P
	Plug/socket combinations intended to be connected or disconnected during load conditions shall have sufficient load-breaking capacity		P
	Where the plug/socket combination is rated at 30 A, or greater, it shall be interlocked		P
	Plug/socket combinations that are rated at more than 16 A shall have a retaining means to prevent unintended or accidental disconnection.		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	Where an unintended or accidental disconnection of plug/socket combinations can cause a hazardous situation, they shall have a retaining means.		P
	<p>The installation of plug/socket combinations shall fulfil the following requirements as applicable:</p> <ul style="list-style-type: none"> <li>a) The component which remains live after disconnection shall have a degree of protection of at least IP2X or IPXXB</li> <li>b) Metallic housings of plug/socket combinations shall be connected to the protective bonding circuit</li> <li>c) Plug/socket combinations intended to carry power loads but not to be disconnected during load conditions shall have a retaining means to prevent unintended or accidental disconnection and shall be clearly marked accordingly</li> <li>d) Where more than one plug/socket combination is provided in the same electrical equipment, the associated combinations shall be clearly identifiable. Mechanical coding is recommended</li> <li>e) Plug/socket combinations used in control circuits shall fulfil the applicable requirements of IEC 61984. Exception: combinations in accordance with IEC 60309-1, only those contacts shall be used for control circuits which are intended for those purposes. This exception does not apply to control circuits using high frequency signals superimposed on the power circuits.</li> </ul>		P
13.4.6	Where it is necessary that wiring be disconnected for shipment, terminals or plug/socket combinations shall be provided at the sectional points.		P
13.4.7	When spare conductors are provided, they shall be connected to spare terminals or isolated to prevent contact with live parts		P
<b>13.5</b>	<b>Ducts, connection boxes and other boxes</b>		P



IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	Ducts shall provide a degree of protection (see IEC 60529) suitable for the application		P
	No sharp edges, flash, burrs, rough surfaces, or threads with which the insulation of the conductors can come into contact		P
	Where human passage is required, least 2 m above the working surface		P
	Where cable trays are only partially covered, the cables used shall be of a type suitable for installation on open cable trays.		P
13.5.2	Rigid metal conduit and fittings shall be of galvanized steel or of a corrosion-resistant material		P
	Fittings shall be compatible with the conduit and should be threaded		P
	Conduit bends shall be properly made		P
13.5.3	A flexible metal conduit shall consist of a flexible metal tubing or woven wire armour		P
13.5.4	Flexible non-metallic conduit shall be resistant to kinking		P
13.5.5	Cable trunking systems external to enclosures shall be rigidly supported and clear of all moving and of sources of contamination		P
	Where furnished in sections, the joints shall fit tightly but need not be gasketed		P
	The only openings permitted shall be those required for wiring or for drainage		P
13.5.6	The use of compartments or cable trunking systems within the column or base of a machine to enclose conductors is permitted provided they are isolated from coolant or oil reservoirs and are entirely enclosed		P
	Conductors shall be so secured		P
13.5.7	Connection boxes and other boxes used for wiring purposes shall be accessible for maintenance.		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	Those boxes shall provide protection against the ingress of solid bodies and liquids		P
	They shall not have opened but unused knockouts nor any other openings		P
13.5.8	Motor connection boxes shall enclose only connections to the motor and motor-mounted devices (e.g. brakes, temperature sensors		P
<b>14</b>	<b>ELECTRIC MOTORS AND ASSOCIATED EQUIPMENT</b>		P
14.1	Electric motors should conform to the relevant parts of IEC 60034 series		P
14.2	Enclosures for motors should be in accordance with IEC 60034-5		P
	The degree of protection shall be dependent on the application and the physical environment		P
	The dimensions of motors shall conform to those given in the IEC 60072 series		P
14.4	Motors and its accessories shall be so mounted that they are adequately protected and are easily accessible for inspection, maintenance, etc.		P
	Proper cooling shall be ensured and the temperature rise shall remain within the limits of the insulation class (see IEC 60034-1)		P
	There shall be no opening between the motor compartment and any other compartment that does not meet the motor compartment requirements		P
14.5	The characteristics of motors and associated equipment shall be selected in accordance with the anticipated service and physical environmental conditions		P
14.6	Operation of the overload and overcurrent protective devices for mechanical brake actuators shall initiate the simultaneous de-energization (release) of the associated machine actuators		P



IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
<b>15</b>	<b>SOCKET-OUTLETS AND LIGHTING</b>		P
15.1	For socket-outlets intended for accessory equipment, the following apply:		P
	- they should conform to IEC 60309-1. Where not practicable, they should be clearly marked with the voltage and current ratings		P
	- the continuity of the protective bonding circuit to the socket-outlet shall be ensured		P
	- all unearthed conductors connected to the socket-outlet shall be protected against overcurrent and, when required, overload		P
	- where the power supply to the socket-outlet is not disconnected by the supply disconnecting device for the machine or the section of the machine, the requirements of 5.3.5 apply		P
	- where fault protection is provided by automatic disconnection of supply, the disconnection time shall be in accordance with Table A.1 for TN systems or Table A.2 for TT systems		P
	-socket-outlets with a rating not exceeding 20 A shall be provided with an RCD not exceeding 30 mA		P
<b>15.2</b>	<b>Local lighting of the machine and of the equipment</b>		P
15.2.1	The ON/OFF switch shall not be incorporated in the lampholder or in the flexible connecting cord		P
	Stroboscopic effects from lights shall be avoided		P
15.2.2	The nominal voltage of the local lighting circuit shall not exceed 250 V between conductors. A voltage not exceeding 50 V is recommended		P
	Lighting circuits shall be supplied from one of the following sources:		P
	- a dedicated isolating transformer connected to the supply disconnecting device. Overcurrent protection shall be provided in the secondary circuit		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	– a dedicated isolating transformer connected before the supply disconnecting device. This is permitted for maintenance lighting in control enclosures only. Overcurrent protection shall be provided in the secondary circuit		P
	– a circuit of the electrical equipment of the machine for lighting, with dedicated overcurrent protection		P
	– an isolating transformer connected before the supply disconnecting device, provided with a dedicated primary disconnecting means (see 5.3.5) and secondary overcurrent protection, and mounted within the control enclosure adjacent to the supply disconnecting device		P
	– an externally supplied lighting circuit (for example factory lighting supply). This shall be permitted in control enclosures only, and for the machine work light(s) where their total power rating is not more than 3 kW		P
	– power supply units, for DC supply to LED light sources, fitted with isolating transformers		P
	Exception: where fixed lighting is out of reach of operators during normal operations, the provisions of this 15.2.2 do not apply		P
15.2.3	Local lighting circuits shall be protected in accordance with 7.2.6		P
15.2.4	Adjustable lighting fittings shall be suitable for the physical environment		P
	The lampholders shall be:		P
	– in accordance with the relevant IEC standard		P
	– constructed with an insulating material protecting the lamp cap so as to prevent unintentional contact		P
	Reflectors shall be supported by a bracket and not by the lampholder		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	Exception: where fixed lighting is out of reach of operators during normal operations, the provisions of this 15.2.4 do not apply		P
<b>16</b>	<b>MARKING, WARNING SIGNS AND REFERENCE DESIGNATIONS</b>		P
16.1	Warning signs, nameplates, markings, labels and identification plates shall be of sufficient durability		P
16.2.1	Enclosures that do not otherwise clearly show that they contain electrical shall be marked with the graphical symbol ISO 7010-W012 		P
	It may be omitted (see also 6.2.2 b)) for: <ul style="list-style-type: none"> <li>– an enclosure equipped with a supply disconnecting device</li> <li>– an operator-machine interface or control station</li> <li>– a single device with its own enclosure (for example position sensor)</li> </ul>		P
16.2.2	Where the risk assessment shows the need to warn against the possibility of hazardous surface temperatures, the graphical symbol ISO 7010-W017 shall be used 		P
16.3	Control devices and visual indicators, shall be clearly and durably marked with regard to their functions		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
16.4	<p>The following information shall be legibly and durably marked - plainly visible after installation on enclosures that receive incoming power supplies:</p> <ul style="list-style-type: none"> <li>• name or trade mark of supplier</li> <li>• certification mark or other marking where applicable</li> <li>• type designation or model, where applicable</li> <li>• serial number where applicable</li> <li>• main document number (see IEC 62023) where applicable</li> <li>• rated voltage, number of phases and frequency (if AC), and full-load current for each incoming supply</li> </ul> <p>It is recommended that this information is provided adjacent to the main incoming supply(ies)</p>		P
16.5	All enclosures, assemblies, control devices, and components shall be plainly identified with the same reference designation as shown in the technical documentation		P
<b>17</b>	<b>TECHNICAL DOCUMENTATION</b>		P
17.1	The information necessary for identification, transport, installation, use, maintenance, decommissioning and disposal of the electrical equipment shall be supplied		P
	Annex I should be considered as guidance for the preparation of information and documents		P
<b>17.2</b>	<b>Information related to the electrical equipment</b>		P
	The following shall be supplied:		P
	a) where more than one document is provided, a main document for the electrical equipment as a whole, listing the complementary documents		P
	b) identification of the electrical equipment		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	c) information on installation and mounting including: <ul style="list-style-type: none"> <li>• a description of installation and mounting, and its connection to the electrical and other supplies</li> <li>• short-circuit current rating for each incoming power supply</li> <li>• rated voltage, number of phases and frequency (if AC.), type of distribution system (TT, TN, IT) and full-load current for each incoming supply</li> <li>• any additional electrical supply(ies) requirements (for example maximum supply source impedance, leakage current) for each incoming supply</li> <li>• space required for servicing</li> <li>• installation requirements regarding cooling</li> <li>• environmental limitations (for example lighting, vibration, EMC environment, atmospheric contaminants)</li> <li>• functional limitations (for example peak starting currents and permitted voltage drops)</li> <li>• precautions to be taken for the installation regarding electromagnetic compatibility</li> </ul>		P
	d) an instruction for the connection of conductive-parts in the vicinity of the machine to the protective bonding circuit: <ul style="list-style-type: none"> <li>• metallic pipes</li> <li>• fences</li> <li>• ladders</li> <li>• handrails</li> </ul>		P
	e) information on the functioning and operation as applicable: <ul style="list-style-type: none"> <li>• an overview of the structure of the electrical equipment</li> <li>• procedures for programming or configuring</li> <li>• procedures for restarting after an unexpected stop</li> <li>• a sequence of operation</li> </ul>		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	f) information on maintenance, as appropriate: <ul style="list-style-type: none"> <li>• frequency and method of functional testing</li> <li>• instructions for safe maintenance and where necessary suspend a safety function and/or protective measure (see 9.3.6)</li> <li>• guidance on the adjustment, repair, and frequency and method of preventive maintenance</li> <li>• details of the interconnections subject to replacement</li> <li>• required special devices or tools;</li> <li>• spare parts;</li> <li>• possible residual risks, indication of particular training and specification of personal protective equipment</li> <li>• instructions to restrict availability of keys or too(s to skilled or instructed persons</li> <li>• settings (DIP-switches, programmable parameter values, etc);</li> <li>• information for validation of safety related control functions after repair or modification, and for periodic testing where necessary;</li> </ul>		P
	g) information on handling, transportation and storage		P
	h) information for proper disassembly and handling of components		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
<b>18</b>	<b>VERIFICATION</b>		P
18.1	<p>The extent of verification will be given in the dedicated product standard for a particular machine. Where there is no such standard, the verifications shall always include the items a), b), c) and h) and may include one or more of the items d) to g):</p> <p>a) verification that the electrical equipment complies with its technical documentation                      b) verification of continuity of the protective bonding circuit (Test 1 of 18.2.2)                      c) in case of fault protection by automatic disconnection of supply, conditions shall be verified according to 18.2;                      d) insulation resistance test (see 18.3)                      e) voltage test (see 18.4)                      f) protection against residual voltage (see 18.5)                      g) verification that the relevant requirements of 8.2.6 are met                      h) functional tests (see 18.6)</p>		—
	The results of the verification shall be documented		P
18.2	Verification of conditions for protection by automatic disconnection of supply		P
18.2.1	<p>Test 1 verifies the continuity of the protective bonding circuit.</p> <p>Test 2 verifies the conditions for protection by automatic disconnection of the supply in TN systems</p> <p>For TN-systems, those test methods are described in 18.2.2 and 18.2.3; their application for different conditions of supply are specified in 18.2.4</p> <p>For TT systems, see Clause A.2</p> <p>For IT systems, see IEC 60364-6</p>		P
	Where RCDs are used in the electrical equipment, their function shall be verified in accordance with the manufacturer's instructions. The test procedure and test interval shall be specified in the maintenance instructions		P
18.2.2	Test 1: Verification of the continuity of the protective bonding circuit		—

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	The resistance between the PE terminal (see 5.2 and Figure 4) and relevant points that are part of the protective bonding circuit shall be measured with a current between 0.2 A and approximately 10 A derived from an electrically separated supply source having a maximum no-load voltage of 24 V	See appended table	P
	The resistance measured shall be in the expected range		P
18.2.3	Test 2: Fault loop impedance verification and suitability of the associated overcurrent protective device		P
	The connections of each power supply including the connection of the associated protective conductor to the PE terminal of the machine, shall be verified by inspection		P
	The conditions for the protection by automatic disconnection of supply in accordance with 6.3.3 and Annex A shall be verified by both		P
	a) verification of the fault loop impedance by - calculation, <b>or</b> - measurement in accordance with A.4, and		P
	b) confirmation that the setting and characteristics of the associated overcurrent protective device are in accordance with the requirements of Annex A, and		P
	Where a power drive system (PDS) is used, confirmation that the setting and characteristics of the protective device(s) are in accordance with the converter manufacturer's and protective device manufacturer's instructions		P
18.2.4	Application of the test methods for TN-systems		P
	When Test 2 of 18.2.3 is carried out by measurement, it shall always be preceded by Test 1 of 18.2.2		P



IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	The tests that are necessary for machines of different status are specified in Table 9		P
<b>18.3</b>	<b>Insulation resistance tests (optional)</b>		P
	When insulation resistance tests are performed, the insulation resistance measured at 500 V DC between the power circuit conductors and the protective bonding circuit shall be not less than 1 MΩ		P
	If the electrical equipment of the machine contains surge protection devices which are likely to operate during the test, it is permitted to either: – disconnect these devices, or – reduce the test voltage to a value lower than the voltage protection level of the surge protection devices		P
<b>18.4</b>	<b>Voltage tests (optional)</b>		P
	The test voltage shall be at a nominal frequency of 50 Hz or 60 Hz.		P
	The maximum test voltage shall have a value of twice the rated supply voltage of the equipment or 1 000 V, whichever is the greater		P
	The test voltage shall be applied between the power circuit conductors and the protective bonding circuit for at least 1 s		P
	Components and devices that are not rated to withstand the test voltage and surge protection devices shall be disconnected		P
<b>18.5</b>	<b>Protection against residual voltages</b>		P
	Where appropriate, tests shall be performed to ensure compliance with 6.2.4		P
<b>18.6</b>	<b>Functional tests</b>		P
	The functions of electrical equipment shall be tested		P
<b>18.7</b>	<b>Retesting</b>		P

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
	Where a portion of the machine or its associated equipment is changed or modified, the need for re-verification and testing of the electrical equipment shall be considered		P
<b>A</b>	<b>ANNEX A (NORMATIVE) FAULT PROTECTION BY AUTOMATIC DISCONNECTION OF SUPPLY</b>		<b>N</b>
<b>A.1</b>	<b>Fault protection for machines supplied from TN-systems</b>		<b>N</b>
A.1.1	Fault protection shall be provided by an overcurrent protective device within a sufficiently short disconnecting time.		N
	5 s is considered sufficiently short for machines that are neither hand-held nor portable.		N
	Where not possible, supplementary protective bonding shall be provided in accordance with A.1.3		N
	For Class 1 hand-held equipment or portable equipment table A.1 specifies the maximum disconnecting times		N
A.1.2	Conditions for protection by overcurrent protective devices fulfilled		N
A.1.3	Condition for protection by reducing the touch voltage below 50 V fulfilled		N
A.1.4	Verification of conditions for protection by automatic disconnection of the supply (A.1.2) by		N
	- verification of the characteristics of the associated protective device and		N
	- measurement of the fault loop impedance ( $Z_s$ )		N
	Exception: Verification of the continuity of the protective conductors may replace the measurement where appropriate		N
<b>A.2</b>	<b>Fault protection for machines supplied from TT-systems</b>		<b>N</b>
	Expand if applicable		N

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
<b>B</b>	<b>ANNEX B (INFORMATIVE) ENQUIRY FORM FOR THE ELECTRICAL EQUIPMENT OF MACHINES</b>		<b>N</b>
	The use of this form can facilitate an exchange of information between the user and supplier		N
<b>C</b>	<b>ANNEX C (INFORMATIVE) EXAMPLES OF MACHINES COVERED BY THIS PART OF IEC 60204</b>		<b>N</b>
	Non exhaustive list of examples This standard does not apply to machines within the scope of the IEC 60335 series		N
<b>D</b>	<b>ANNEX D (INFORMATIVE) CURRENT-CARRYING CAPACITY AND OVERCURRENT PROTECTION OF CONDUCTORS AND CABLES</b>		<b>N</b>
D.2.1	Correction factors for PVC conductors at higher temperatures		N
D.2.2	Methods of installation		N
D.2.3	Grouping and derating factors		N
D.4	Guidance for overcurrent protection of conductors		N
<b>E</b>	<b>ANNEX E (INFORMATIVE) EXPLANATION OF EMERGENCY OPERATION FUNCTIONS</b>		<b>N</b>
	Description of emergency stop, start, switching off, switching on		N
<b>F</b>	<b>ANNEX (INFORMATIVE) GUIDE FOR THE USE OF THIS PART OF IEC 60204</b>		<b>N</b>
	This standard gives a large number of general requirements that may or may not be applicable to the electrical equipment of a particular machine.		N

IEC 60204-1			
Clause	Requirement - Test	Result - Remark	Verdict
<b>G</b>	<b>ANNEX (INFORMATIVE) COMPARISON OF TYPICAL CONDUCTOR CROSS-SECTIONAL AREAS</b>		<b>N</b>
	Comparison of the American Wire Gauge (AWG), square millimetres, square inches, and circular mil		N
<b>H</b>	<b>ANNEX (INFORMATIVE) MEASURES TO REDUCE THE EFFECTS OF ELECTROMAGNETIC INFLUENCES</b>		<b>N</b>
	Expand if applicable		N
H.3.1	Only electrical equipment which meets the requirements of the appropriate EMC standards, or the EMC requirements of the relevant product standard, should be used		N
<b>I</b>	<b>ANNEX I (INFORMATIVE) DOCUMENTATION / INFORMATION</b>		<b>N</b>
	Table I.1 gives a list of Documentation / Information that can be applicable		N

## EMC TEST REPORT

### Test Standards:

EN55014-1:2006+A1:2009+A2:2011  
EN61000-3-2:2014  
EN61000-3-3:2013  
EN55014-2:2015

### TEST RESULTS SUMMARY

Table 1 Test Results Summary

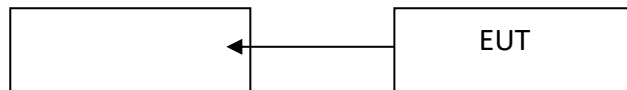
Test Items	Test Results
Power Line Conducted Emission Test	PASS
Disturbance Power Test	PASS
Harmonic Current Emission Test	PASS
Voltage Fluctuations & Flicker Test	PASS
Electrostatic Discharge Test	PASS
RF Field Strength Susceptibility Test	PASS
Electrical Fast Transient/Burst Test	PASS
Surge Test	PASS
Injected Currents Susceptibility Test	PASS
Voltage Dips And Interruptions Test	PASS

## PRODUCT DESCRIPTION

### EUT Description

Description	:	Massage chair
Applicant	:	Family Robot Intelligent Massage Chair Manufacture (Ningbo) Co.,Ltd. NO.186, Gongmao 4 <sup>th</sup> Road, Jishigang Industrial Park, Ningbo City, ZheJiang Province, China
Manufacturer	:	Family Robot Intelligent Massage Chair Manufacture (Ningbo) Co.,Ltd. NO.186, Gongmao 4 <sup>th</sup> Road, Jishigang Industrial Park, Ningbo City, ZheJiang Province, China
Model Number	:	F-8000, F-5000, F-6000, F-7000, F-9000 (Note:The series products have the same circuit diagram, PCB layout and functionality. The differences are the appearance, so, we select SW-01 to test.)

### Block Diagram of EUT Configuration



### Operating Condition of EUT

Test mode 1: TX

### Test Conditions

Temperature: 23-26°C

Relative Humidity: 55-68 %

### Modifications

No modification was made.

## Abbreviations

AC	Alternating Current
AMN	Artificial Mains Network
DC	Direct Current
EM	ElectroMagnetic
EMC	ElectroMagnetic Compatibility
EUT	Equipment Under Test
IF	Intermediate Frequency
RF	Radio Frequency
rms	root mean square
EMI	Electromagnetic Interference
EMS	Electromagnetic Susceptibility

## Performance Criterion

**Criterion A:** The equipment shall continue to operate as intended without operator intervention. No degradation of performance or loss of function is allowed below a performance level specified by the manufacturer when the equipment is used as intended.

**Criterion B:** After the test, the equipment shall continue to operate as intended without operator intervention. No degradation of performance or loss of function is allowed, after the application of the phenomena below a performance level specified by the manufacturer, when the equipment is used as intended.

**Criterion C:** Loss of function is allowed, provided the function is self-recoverable, or can be restored by the operation of the controls by the user in accordance with the manufacturer's instructions.

**TEST EQUIPMENT USED**
**For Conducted Emission Test**

Item	Equipment	Manufacturer	Model No.	Serial No.	Last Cal.	Cal. Interval
1.	Test Receiver	Rohde & Schwarz	ESHS30	828985/018	Jun. 01, 18	1 Year
2.	Pulse Limiter	Rohde & Schwarz	ESH3-Z2	100006	Jun. 01, 18	1 Year
3.	L.I.S.N.	Rohde & Schwarz	ESH2-Z5	834549/005	Jun. 01, 18	1 Year
4.	Conical	Emtek	N/A	N/A	N/A	N/A
5.	Voltage Probe	Schwarzbeck	TK9416	N/A	Jun. 01, 18	1 Year
6.	Coaxial Switch	Anritsu	MP59B	6100214550	Jun. 01, 18	1 Year

**For Disturbance Power Test**

Item	Equipment	Manufacturer	Model No.	Serial No.	Last Cal.	Cal. Interval
1.	Test Receiver	Rohde & Schwarz	ESHS30	828985/018	Jun. 01, 18	1 Year
2.	Power Clamp	Rohde & Schwarz	MDS21	833711/025	Jun. 01, 18	1 Year
3.	Coaxial Switch	Anritsu	MP59B	6100214550	Dec 01, 18	1 Year

**For Harmonic / Flicker Test**

Item	Equipment	Manufacturer	Model No.	Serial No.	Last Cal.	Cal. Interval
1.	Power Frequency test system	HAEFELY	PHF555	080419-03	Jun. 01, 18	1 Year

**For Electrostatic Discharge Immunity Test**

Item	Equipment	Manufacturer	Model No.	Serial No.	Last Cal.	Cal. Interval
1.	ESD Tester	HAEFELY	PSD 1600	H911'292	Jun. 02, 18	1 Year

**For RF Strength Susceptibility Test**

Item	Equipment	Manufacturer	Model No.	Serial No.	Last Cal.	Cal. Interval
1.	Signal Generator	HP	8648A	3633A02081	Jun. 03, 18	1 Year
2.	Amplifier	A&R	500A100	17034	NCR	NCR
3.	Amplifier	A&R	100W/1000M1	17028	NCR	NCR
4.	Isotropic Field Monitor	A&R	FM2000	16829	NCR	NCR
5.	Isotropic Field Probe	A&R	FLW220100	16755	Jun. 03, 18	1 Year
6.	Biconic Antenna	EMCO	3108	9507-2534	NCR	NCR
7.	Log-periodic Antenna	A&R	AT1080	16812	NCR	NCR
8.	PC	N/A	486DX2	N/A	N/A	N/A

**For Electrical Fast Transient/Burst Immunity Test**

Item	Equipment	Manufacturer	Model No.	Serial No.	Last Cal.	Cal. Interval
1.	Burst Tester	HAEFELY	PEFT 4010	080981-16	Jun. 01, 18	1 Year

**For Surge Test**

Item	Equipment	Manufacturer	Model No.	Serial No.	Last Cal.	Cal. Interval
1.	Surge Tester	HAEFELY	PSURGE4.1	080107-04	Jun. 01, 18	1 Year

**For Injected Currents Susceptibility Test**

Item	Equipment	Manufacturer	Model No.	Serial No.	Last Cal.	Cal. Interval
1.	Simulator	EMTEST	CWS 500C	0900-12	Jun. 01, 18	1 Year
2.	CDN	EMTEST	CDN-M2	510010010010	Jun. 01, 18	1 Year
3.	VDN	EMTEST	CDN-M3	0900-11	Jun. 01, 18	1 Year
4.	Injection Clamp	EMTEST	F-2031-23MM	368	Jun. 01, 18	1 Year
5.	Attenuator	EMTEST	ATT6	0010222a	Jun. 01, 18	1 Year



**For Magnetic Field Immunity Test**

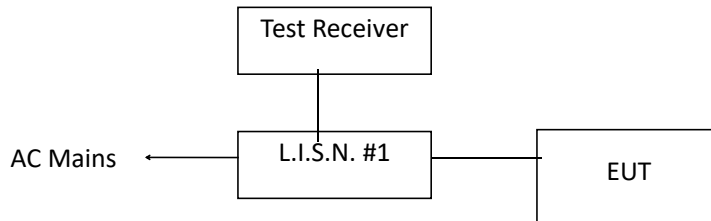
Item	Equipment	Manufacturer	Model No.	Serial No.	Last Cal.	Cal. Interval
1.	Magnetic Field Tester	HEAFELY	MAG100.1	083858-10	Jun. 01, 18	1 Year

**For Voltage Dips and Interruptions Test**

Item	Equipment	Manufacturer	Model No.	Serial No.	Last Cal.	Cal. Interval
2.	Dips Tester	HEAFELY	PLINE 1610	083732-18	Jun. 01, 18	1 Year

## POWER LINE CONDUCTED EMISSION TEST

### Block Diagram of Test Setup



### Test Standard

EN55014-1:2006+A1:2009+A2:2011

### Power Line Conducted Emission Limit

Frequency MHz	Limits dB(μV)	
	Quasi-peak Level	Average Level
0.15 ~ 0.50	66 ~ 56*	59~ 46*
0.50 ~ 5.00	56	46
5.00 ~ 30.00	60	50

Notes: 1. \*Decreasing linearly with logarithm of frequency.

2. The lower limit shall apply at the transition frequencies.

### EUT Configuration on Test

The following equipments are installed on conducted emission test to meet EN55014 requirement and operating in a manner, which tends to maximize its emission characteristics in a normal application.

### EUT Information

Model Number : QD16-SXKW-EGD

Serial Number : N/A

Manufacturer : QINGDAO QINDAO ELECTRIC APPLIANCE CO., LTD

## **Operating Condition of EUT**

**Setup the EUT and simulators as shown in Section 5.1.**

**Turn on the power of all equipments.**

**Let the EUT work in test modes (EUT WORKING) and test it.**

## **Test Procedure**

The EUT is put on the ground and connected to the AC mains through a Artificial Mains Network (AMN). This provided 50ohm-coupling impedance for the tested equipments. Both sides of AC line are checked to find out the maximum conducted emission levels according to the EN55014-1 regulations during conducted emission test.

The bandwidth of the test receiver (R&S Test Receiver ESHS30) is set at 10KHz.

The frequency range from 150 KHz to 30 MHz is investigated. and all the scanning waveform is put in

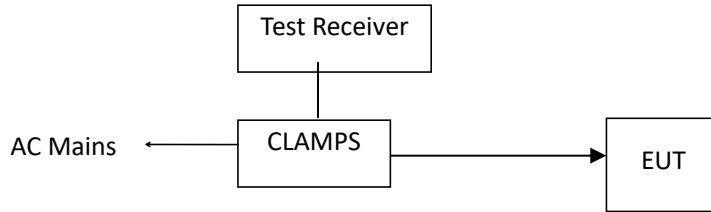
**Appendix I.**

## **Test Result**

**PASS.**

**DISTURBANCE POWER TEST**

**Block Diagram of Test Setup**



*(EUT: ELECTRIC BLANKET)*

**Disturbance Power Limit**

All emanations from devices or system including any network of conductors and apparatus connected thereto, shall not exceed the level of field strengths specified below:

Frequency MHz	Interference Power Limits dB(pW)	
	Quasi-peak Value	Average Value
30 ~ 300	45 Increasing Linearly with Frequency to 55 (Q.P.)	35 Increasing Linearly with Frequency to 45 (A.V.)

**EUT Configuration on Test**

The EN55014 regulations test method must be used to find the maximum emission during radiated emission test.

The configuration of EUT is the same as used in conducted emission test. Please refer to Section 3.2

**Operating Condition of EUT**

Same as conducted emission test, which is listed in Section 5.1., except the test set up replaced as Section 6.1.

**Test Procedure**

The EUT is placed on the ground and away from other metallic surface at least 0.4m. It is connected to the power mains through an extension cord of 6m min. The absorber clamp clamps the cord and moves from the far end to the EUT to measure the disturbing energy emitted from the cord.

The bandwidth of the test receiver(R&S ESVS30) is set at 120kHz.

All the test results are listed in Section 6.6.

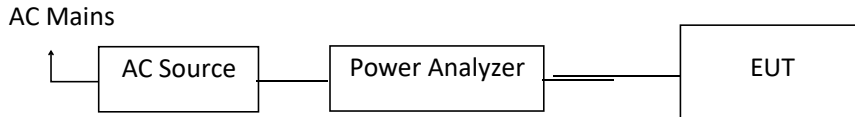
The frequency spectrum from 30 MHz to 300 MHz is investigated.

As the peak value is too low against the limit, so the quasi-peak value and average value have omitted. All the scanning waveforms are attached in **Appendix II**.

**Disturbance Power Test Result****PASS.**

## HARMONIC CURRENT EMISSION TEST

### Block Diagram of Test Setup



### Test Standard and Limit

#### Test Standard

EN61000-3-2:2014

#### Limits

Table 12 Harmonic Current Test Limit (Class A)

Harmonic order (n)	Maximum permissible harmonic current (A)
Odd harmonics	
3	2.30
5	1.14
7	0.77
9	0.40
11	0.33
13	0.21
15 ≤ n ≤ 39	0.15 × 15/n
Even harmonics	
2	1.08
4	0.43
6	0.30
8 ≤ n ≤ 40	0.23 × 8/n

### Test Procedure

The power cord of the EUT is connected to the output of the test system. Turn on the Power of the EUT and use the test system to test the harmonic current level.

**Test Results**

**PASS.**

## VOLTAGE FLUCTUATIONS & FLICKER TEST

### Block Diagram of Test Setup

Same as Section 7.1..

### Test Standard

EN61000-3-3: 2013

### Operating Condition of EUT

Same as Section 7.3.. The power cord of the EUT is connected to the output of the test system. Turn on the power of the EUT and use the test system to test the harmonic current level.

#### Flicker Test Limit

Test items	Limits
Pst	1.0
dc	3.3%
dmax	4.0%
dt	Not exceed 3.3% for 500ms

### Test Data

#### Flicker test Data

Model No.: QD16-SXKW-EGD		
Test Mode: 1		
Items	Reading	Limit
dmax	0.04	4.0%
dc	0.02	3.3%
dt	0.05	Not exceed 3.3% for 500ms
Pst	0.001	1.0

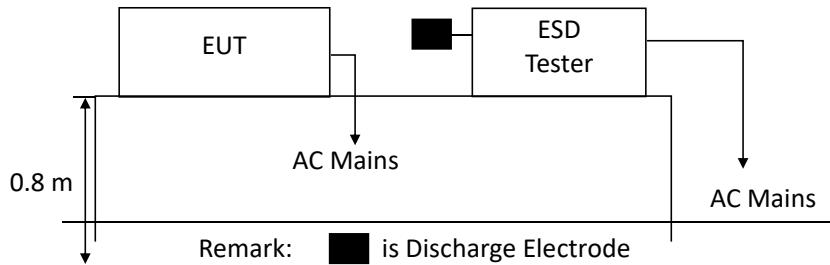
### Test Results

**PASS.**



## ELECTROSTATIC DISCHARGE TEST

### Block Diagram of ESD Test Setup



### Test Standard

EN55014-2:2015 (EN61000-4-2:2009)

Severity Level 3 for Air Discharge at 8KV

Severity Level 2 for Contact Discharge at 4KV

### Severity Levels and Performance Criterion

#### Severity level

Level	Test Voltage Contact Discharge (KV)	Test Voltage Air Discharge (KV)
1.	2	2
2.	4	4
3.	6	8
4.	8	15
X.	Special	Special

**Performance criterion: B**

### EUT Configuration on Test

The configuration of EUT are listed in Section 3.2.

## Operating Condition of EUT

**Setup the EUT as shown in Section 9.1..**

**Turn on the power of all equipments.**

**Let the EUT work in test mode (full load) and test it.**

## Test Procedure

### Air Discharge:

This test is done on a non-conductive surfaces. The round discharge tip of the discharge electrode shall be approached as fast as possible to touch the EUT.

After each discharge, the discharge electrode shall be removed from the EUT.

The generator is then re-triggered for a new single discharge and repeated 10 times

for each pre-selected test point. This procedure shall be repeated until all the air discharge completed.

### Contact Discharge:

All the procedure shall be same as Section 9.6.1. except that the tip of the discharge electrode shall touch the EUT before the discharge switch is operated.

### Indirect discharge for horizontal coupling plane

At least 20 single discharges shall be applied to the horizontal coupling plane, at points on each side of the EUT. The discharge electrode positions vertically at a distance of 0.1m from the EUT and with the discharge electrode touching the coupling plane.

### Indirect discharge for vertical coupling plane

At least 20 single discharge shall be applied to the center of one vertical edge of the coupling plane. The coupling plane, of dimensions 0.5m X 0.5m, is placed parallel to, and positioned at a distance of 0.1m from the EUT. Discharges shall be applied to the coupling plane, with this plane in sufficient different positions that the four faces of the EUT are completely illuminated.

## Test Results

**PASS.**

Please refer to the following page.

## *Electrostatic Discharge Test Results*

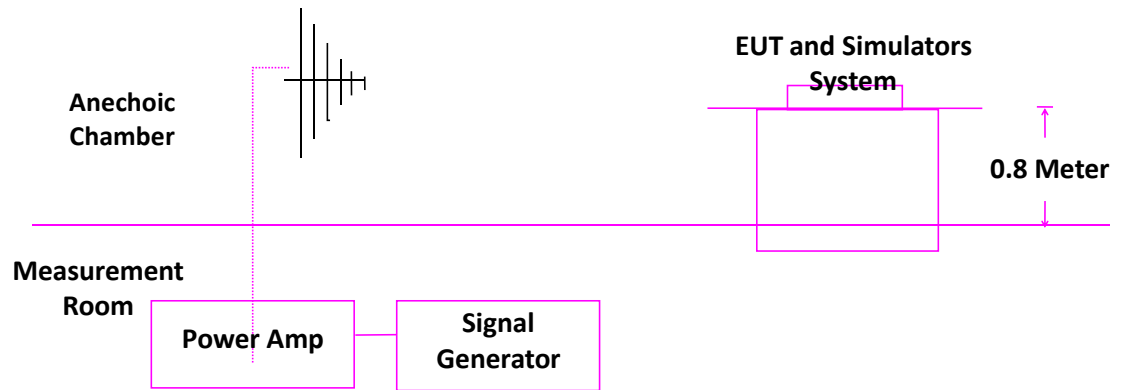
<i>Applicant</i> : QINGDAO QINDAO ELECTRIC APPLIANCE CO., LTD	<i>Test Date</i> : July. 05, 2016	
<i>EUT</i> : ELECTRIC BLANKET	<i>Temperature</i> : 22 °C	
<i>M/N</i> : QD16-SXKW-EGD	<i>Humidity</i> : 50 %	
<i>Power Supply</i> : AC 230V / 50Hz	<i>Test Mode</i> : Full load	
<i>Test Engineer</i> : Deng Yong		
<i>Air Discharge: ±8KV For each point positive 10 times and negative 10 times discharge.</i> <i>Contact Discharge: ±4KV</i>		
<b>Location</b>	<b>Kind</b> A-Air Discharge C-Contact Discharge	<b>Result</b>
Slots	A	PASS
Metal parts	C	PASS
HCP	C	PASS
VCP	C	PASS

*Discharge should be considered on Contact and Air and Horizontal Coupling Plane (HCP) and Vertical Coupling Plane (VCP).*

**RF FIELD STRENGTH SUSCEPTIBILITY TEST**

**R/S Test Setup**

← 3 Meters →



**Test Standard**

EN55014-2:2015 (EN61000-4-3:2006+A1:2008)

Severity Level 2 at 3V / m

**Severity Levels and Performance Criterion**

**Severity level**

Level	Field Strength V/m
1.	1
2.	3
3.	10
X.	Special

**Performance criterion : A**

**EUT Configuration on Test**

The configuration of EUT are listed in Section 3.2..

**Operating Condition of EUT**

Setup the EUT as shown in Section 10.1.. The operating condition of EUT are listed in section 3.3.

**Test Procedure**

The EUT and its simulators are placed on a turn table which is 0.8 meter above the ground. The

EUT is set 3 meters away from the transmitting antenna which is mounted on an antenna tower.

Both horizontal and vertical polarization of the antenna are set on test. Each of the four sides of EUT must be faced this transmitting antenna and measured individually.

In order to judge the EUT performance, a CCD camera is used to monitor the EUT.

All the scanning conditions are as follows :

Condition of Test	Remarks
1. Fielded Strength	3 V/m (Severity Level 2)
2. Radiated Signal	Modulated
3. Scanning Frequency	80 - 1000 MHz, 1.4GHZ-2.7GHZ
4. Sweeping time of radiated	0.0015 decade/s
5. Dwell Time	1 Sec.

#### Test Results

**PASS.**

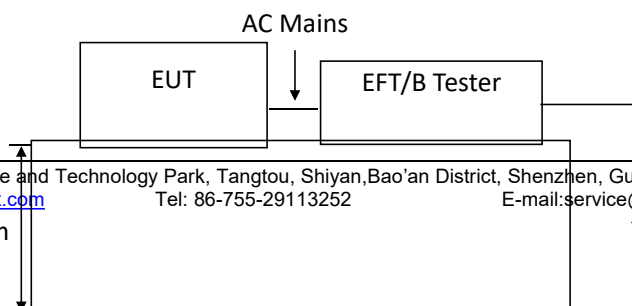
Please refer to the following page.

*RF Field Strength Susceptibility Test Results*

<i>Applicant</i> : QINGDAO QINDAO ELECTRIC APPLIANCE CO., LTD	<i>Test Date</i> : July. 05, 2016	
<i>EUT</i> : ELECTRIC BLANKET	<i>Temperature</i> : 22 °C	
<i>M/N</i> : QD16-SXKW-EGD	<i>Humidity</i> : 50 %	
<i>Power Supply</i> : AC 230V / 50Hz	<i>Test Mode</i> : Full load	
<i>Test Engineer</i> : Deng Yong	<i>Frequency Range</i> : 80 MHz to 1000 MHz, 1.4GHZ-2.7GHZ	
<i>Modulation:</i> <input checked="" type="checkbox"/> AM <input type="checkbox"/> Pulse <input type="checkbox"/> none 1 KHz 80%		
<i>Criterion</i> : A		
	<i>Frequency Rang</i> : 80-1000	
<i>Steps</i>	1%	1%
	<i>Horizontal</i>	<i>Vertical</i>
<i>Front</i>	Pass	Pass
<i>Right</i>	Pass	Pass
<i>Rear</i>	Pass	Pass
<i>Left</i>	Pass	Pass

**ELECTRICAL FAST TRANSIENT/BURST TEST**

**EFT Test Setup**



AC Mains

**Test Standard**

EN55014-2:2015 (EN61000-4-4:2012)

Severity Level 2 at 1KV

**Severity Levels and Performance Criterion**

**Severity level**

Open Circuit Output Test Voltage $\pm 10\%$		
Level	On Power Supply Lines	On I/O (Input/Output) Signal data and control lines
1.	0.5 KV	0.25 KV
2.	1 KV	0.5 KV
3.	2 KV	1 KV
4.	4 KV	2 KV
X	Special	Special

**Performance criterion : B**

**EUT Configuration on Test**

The configuration of EUT are listed in Section 3.2..

**Operating Condition of EUT**

Setup the EUT as shown in Section 11.1.. The operating condition of EUT are listed in section 3.3.

**Test Procedure**

The EUT is put on the table which is 0.8 meter high above the ground. This reference ground plane shall project beyond the EUT by at least 0.1m on all sides and the minimum distance between the EUT and all other conductive structure, except the ground plane beneath the EUT, shall be more than 0.5m.

**For input and output AC power ports:**

The EUT is connected to the power mains by using a coupling device which couples the EFT interference signal to AC power lines. Both polarities of the test voltage should be applied during compliance test and the duration of the test is 2 mins.

**For signal lines and control lines ports:**

It's necessary to test.

**For DC output line ports:**

It's unnecessary to test.

**Test Results**

**PASS.**

Please refer to the following page.

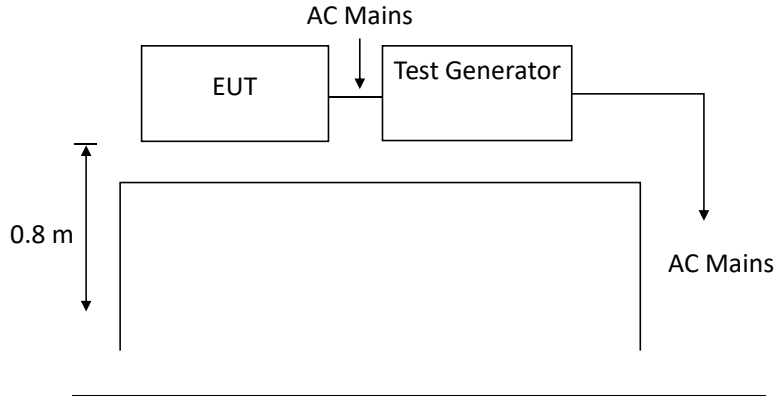


*Electrical Fast Transient/Burst Test Results*

<i>Applicant</i> : QINGDAO QINDAO ELECTRIC APPLIANCE CO., LTD					<i>Test Date</i> : July. 05, 2016				
<i>EUT</i> : ELECTRIC BLANKET					<i>Temperature</i> : 22 °C				
<i>M/N</i> : QD16-SXKW-EGD					<i>Humidity</i> : 50 %				
<i>Power Supply</i> : AC 230V / 50Hz					<i>Test Mode</i> : Full load				
<i>Test Engineer</i> : Deng Yong									
<i>Inject Place</i> : AC Mains									
<i>Inject Line</i>	<i>Voltage KV</i>	<i>Inject Time(s)</i>	<i>Inject Method</i>	<i>Results</i>	<i>Inject Line</i>	<i>Voltage KV</i>	<i>Inject Time(s)</i>	<i>Inject Method</i>	<i>Results</i>
L	±1	120	Direct	PASS					
N	±1	120	Direct	PASS					
L N	±1	120	Direct	PASS					

## SURGE TEST

### Surge Test Setup



### Test Standard

EN55014-2:2015 (EN61000-4-5:2006)

Severity Level 2 for Line to Neutral at 1.0KV

### Severity Levels and Performance Criterion

#### Severity level

Severity Level	Open-Circuit Test Voltage KV
1	0.5
2	1.0
3	2.0
4	4.0
*	Special

Performance criterion : **B**

### **EUT Configuration on Test**

The configuration of EUT are listed in Section 3.2..

### **Operating Condition of EUT**

**Setup the EUT as shown in Section 12.1..**

**Turn on the power of all equipments.**

**Let the EUT work in test mode (Full load) and test it.**

### **Test Procedure**

- 1) Set up the EUT and test generator as shown on Section 12.1
- 2) For line to line coupling mode, provide a 0.5KV 1.2/50us voltage surge (at open-circuit condition) and 8/20us current surge to EUT selected points.
- 3) At least 5 positive and 5 negative (polarity) tests with a maximum 1/min repetition rate are conducted during test.
- 4) Different phase angles are done individually.
- 5) Record the EUT operating situation during compliance test and decide the EUT immunity criterion for above each test.

### **Test Results**

**PASS.**

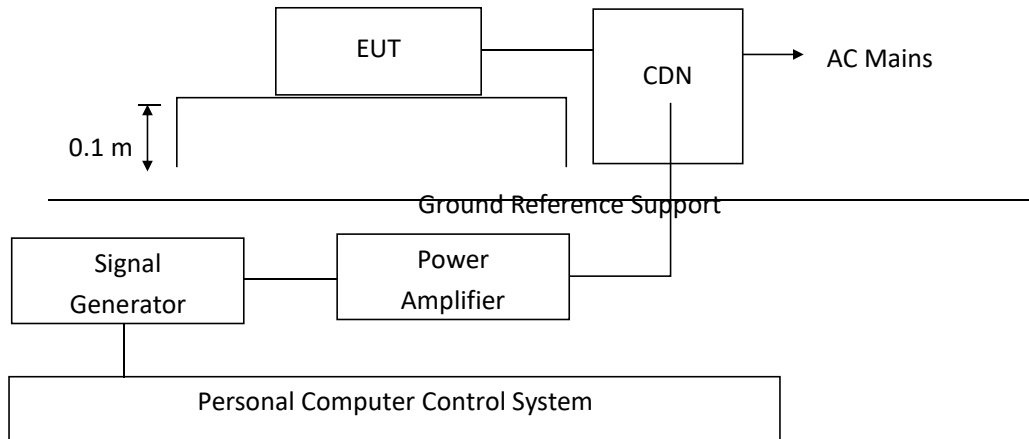
Please refer to the following page.

*Surge Immunity Test Results*

<i>Applicant</i> : QINGDAO QINDAO ELECTRIC APPLIANCE CO., LTD				<i>Test Date</i> : July. 05, 2016	
<i>EUT</i> : ELECTRIC BLANKET				<i>Temperature</i> : 22 °C	
<i>M/N</i> : QD16-SXKW-EGD				<i>Humidity</i> : 50 %	
<i>Power Supply</i> : AC 230V / 50Hz				<i>Test Mode</i> : Full load	
<i>Test Engineer</i> : Deng Yong					
<i>Location</i>	<i>Polarity</i>	<i>Phase Angle</i>	<i>No of Pulse</i>	<i>Pulse Voltage (KV)</i>	<i>Result</i>
L-N	+	0	5	1.0	PASS
	+	90	5	1.0	PASS
	+	180	5	1.0	PASS
	+	270	5	1.0	PASS
	-	0	5	1.0	PASS
	-	90	5	1.0	PASS
	-	180	5	1.0	PASS
	-	270	5	1.0	PASS

## INJECTED CURRENTS SUSCEPTIBILITY TEST

### Block Diagram of Test AC Mains Setup



### Test Standard

EN55014-2:2015 (EN61000-4-6: 2009)

Severity Level 2 at 3 V (rms), 0.15MHz ~ 80MHz

### Severity Levels and Performance Criterion

#### Severity level

Level	Field Strength V/m
1.	1
2.	3
3.	10
X	Special

**Performance criterion: A**

### EUT Configuration on Test

The configuration of EUT are listed in Section 3.2..

## Operating Condition of EUT

Setup the EUT as shown in Section 13.1.. The operating condition of EUT are listed in section 3.3.

## Test Procedure

- 1) Set up the EUT, CDN and test generators as shown on Section 13.1.
- 2) Let the EUT work in test mode and test it.
- 3) The EUT are placed on an insulating support 0.8m high above a ground reference plane. CDN (coupling and decoupling device) is placed on the ground plane about 0.3m from EUT. Cables between CDN and EUT are as short as possible, and their height above the ground reference plane shall be between 30 and 50 mm (where possible).
- 4) The disturbance signal described below is injected to EUT through CDN.
- 5) The EUT operates within its operational mode(s) under intended climatic conditions after power on.
- 6) The frequency range is swept from 150KHz to 80MHz using 3V signal level, and with the disturbance signal 80% amplitude modulated with a 1KHz sine wave.
- 7) The rate of sweep shall not exceed  $1.5 \cdot 10^{-3}$  decades/s. Where the frequency is swept incrementally, the step size shall not exceed 1% of the start and thereafter 1% of the preceding frequency value.
- 8) Recording the EUT operating situation during compliance testing and decide the EUT immunity criterion.

## Test Results

**PASS.**

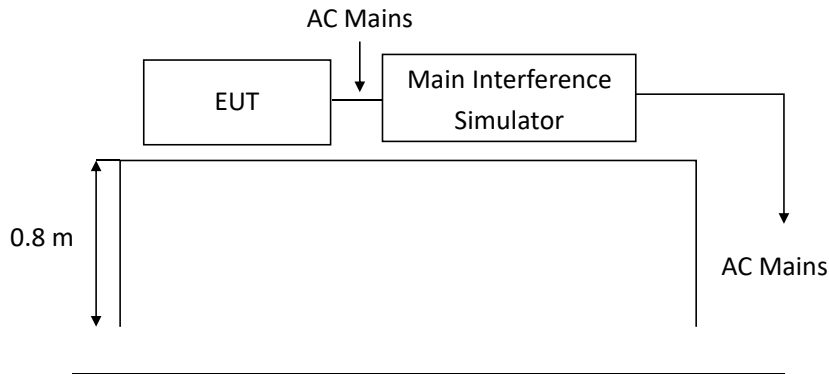
Please refer to the following page.

*Injected Currents Susceptibility Test Results*

<i>Applicant</i> : QINGDAO QINDAO ELECTRIC APPLIANCE CO., LTD		<i>Test Date</i> : July. 05, 2016		
<i>EUT</i> : ELECTRIC BLANKET		<i>Temperature</i> : 22 °C		
<i>M/N</i> : QD16-SXKW-EGD		<i>Humidity</i> : 50 %		
<i>Power Supply</i> : AC 230V / 50Hz		<i>Test Mode</i> : Full load		
<i>Test Engineer</i> : Deng Yong				
<i>Frequency Range (MHz)</i>	<i>Injected Position</i>	<i>Strength</i>	<i>Criterion</i>	<i>Result</i>
0.15 ~ 20	AC Line	3V(rms), Unmodulated	A	PASS
20 ~ 80	AC Line	3V(rms), Unmodulated	A	PASS

## VOLTAGE DIPS AND INTERRUPTIONS TEST

### Voltage Dips and Interruptions Test Setup



Remark: Combination wave generator and decoupling network are included in test generator.

### Test Standard

EN55014-2:2015 (EN61000-4-11:2004)

### Severity Levels and Performance Criterion

#### Severity level

Test Level %UT	Voltage dip and short interruptions %UT	Duration (in period)
0	100	250p
40	60	5p
70	30	0.5p

**Performance criterion : C & B**

### EUT Configuration on Test

The configuration of EUT are listed in Section 3.2.



### **Operating Condition of EUT**

**Setup the EUT as shown in Section 14.1..**

**Turn on the power of all equipments.**

**Let the EUT work in test mode (SPEAKERS Playing) and test it.**

### **Test Procedure**

- 1) Set up the EUT and test generator as shown on Section 14.1.
- 2) The interruptions is introduced at selected phase angles with specified duration.
- 3) Record any degradation of performance.

### **Test Result**

**PASS.**

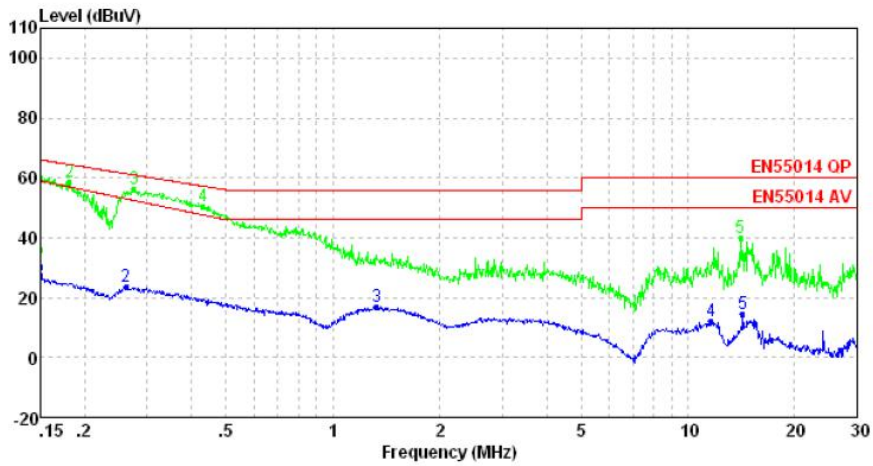
Please refer to the following page.

*Voltage Dips And Interruptions Test Results*

<i>Applicant</i> : QINGDAO QINDAO ELECTRIC APPLIANCE CO., LTD		<i>Test Date</i> : July. 05, 2016			
<i>EUT</i> : ELECTRIC BLANKET		<i>Temperature</i> : 22 °C			
<i>M/N</i> : QD16-SXKW-EGD		<i>Humidity</i> : 50 %			
<i>Power Supply</i> : AC 230V / 50Hz		<i>Test Mode</i> : Full load			
<i>Test Engineer</i> : Deng Yong					
<i>Test Level</i> % $U_T$	<i>Voltage Dips &amp; Short Interruptions</i> % $U_T$	<i>Duration (in period)</i>	<i>Phase Angle</i>	<i>Criterion</i>	<i>Result</i>
0	100	250P	0° ~360°	C	PASS
40	60	5P	0° ~360°	C	PASS
70	30	0.5P	0° ~360°	B	PASS



## APPENDIX I



Site : Shenzhen BST Technology Co.,Ltd.

Condition:

: RBW:9.000KHz VBW:30.000KHz

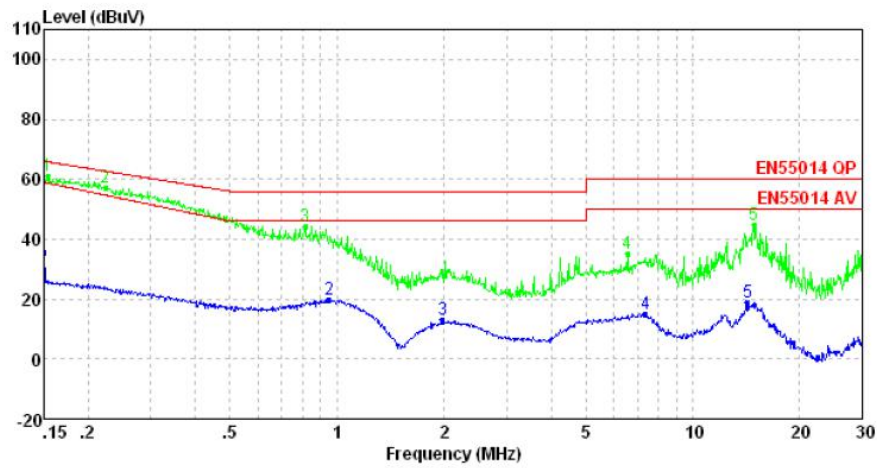
	Freq	Level	Limit	Over	Remark	Pol/Phase
	MHz	dBuV	Line	Limit	dB	
1	0.15	30.37	59.00	-28.63	Average	LINE
2	0.26	23.44	52.99	-29.55	Average	LINE
3 Max	1.33	16.72	46.00	-29.28	Average	LINE
4	11.62	12.13	50.00	-37.87	Average	LINE
5	14.29	14.64	50.00	-35.36	Average	LINE

Site : Shenzhen BST Technology Co.,Ltd.

Condition:

: RBW:9.000KHz VBW:30.000KHz

	Freq	Level	Limit	Over	Remark	Pol/Phase
	MHz	dBuV	Line	Limit	dB	
1	0.15	36.04	66.00	-29.96	Peak	LINE
2	0.18	58.53	64.42	-5.89	Peak	LINE
3 Max	0.28	56.36	60.94	-4.58	Peak	LINE
4	0.43	50.38	57.24	-6.86	Peak	LINE
5	14.06	39.80	60.00	-20.20	Peak	LINE



Site : Shenzhen BST Technology Co.,Ltd.

Condition:

: RBW:9.000KHz VBW:30.000KHz

	Freq	Level	Limit	Over	Remark	Pol/Phase
	MHz	dBuV	dBuV	dB		
1 Max	0.15	35.83	59.00	-23.17	Average	NEUTRAL
2	0.95	19.68	46.00	-26.32	Average	NEUTRAL
3	1.98	13.00	46.00	-33.00	Average	NEUTRAL
4	7.37	15.25	50.00	-34.75	Average	NEUTRAL
5	14.29	18.73	50.00	-31.27	Average	NEUTRAL

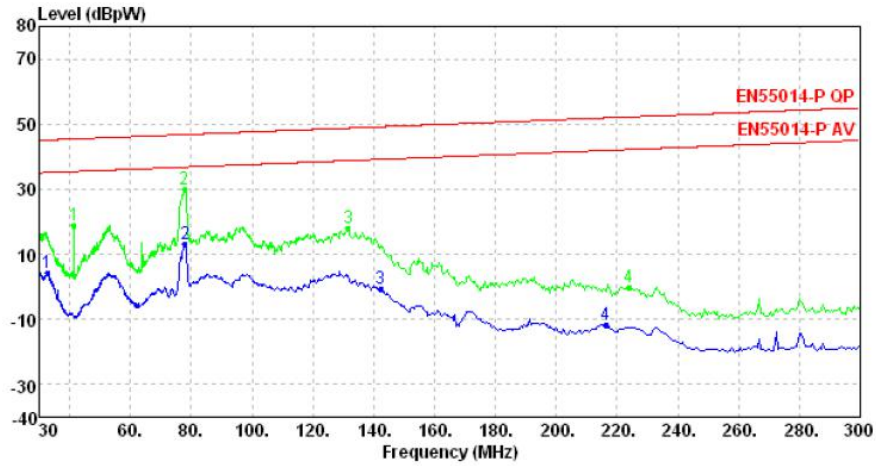
Site : Shenzhen BST Technology Co.,Ltd.

Condition:

: RBW:9.000KHz VBW:30.000KHz

	Freq	Level	Limit	Over	Remark	Pol/Phase
	MHz	dBuV	dBuV	dB		
1 Max	0.15	60.91	65.78	-4.87	Peak	NEUTRAL
2	0.22	57.46	62.70	-5.24	Peak	NEUTRAL
3	0.81	44.28	56.00	-11.72	Peak	NEUTRAL
4	6.56	35.03	60.00	-24.97	Peak	NEUTRAL
5	14.91	44.56	60.00	-15.44	Peak	NEUTRAL

APPENDIX II



Site : Shenzhen BST Technology Co.,Ltd.

Condition:

: RBW:120.000KHz VBW:300.000KHz

	Freq	Level	Limit	Over	Remark
	MHz	dBpW	Line	Limit	dB

1	32.82	4.19	35.11	-30.92	Average
2 Max	78.00	12.92	36.79	-23.87	Average
3	142.27	-0.63	39.17	-39.80	Average
4	216.33	-11.76	41.91	-53.67	Average

Site : Shenzhen BST Technology Co.,Ltd.

Condition:

: RBW:120.000KHz VBW:300.000KHz

	Freq	Level	Limit	Over	Remark
	MHz	dBpW	Line	Limit	dB

1	41.51	19.11	45.44	-26.33	Peak
2 Max	77.83	29.82	46.78	-16.96	Peak
3	131.56	17.79	48.77	-30.98	Peak
4	223.93	-0.04	52.19	-52.23	Peak

**EUT PHOTOS**

**PHOTO 1**



**PHOTO 2**





**PHOTO 3**



**PHOTO 4**



**PHOTO 5**



**PHOTO 6**



**PHOTO 7**



\*\*\*\*\* THE END \*\*\*\*\*